

Inclusive $|V_{ub}|$ unlimited

Zoltan Ligeti



SCET Workshop, MIT, March 24–26, 2009

Outline

- Introduction
- Complete description of $B \rightarrow X_s \gamma$ [ZL, Stewart, Tackmann, arXiv:0807.1926]
- Complete description of $B \rightarrow X_u \ell \bar{\nu}$ [ZL, Stewart, Tackmann, to appear]
- A glimpse at SIMBA [Bernlochner, Lacker, ZL, Stewart, Tackmann, Tackmann, to appear]
- Conclusions

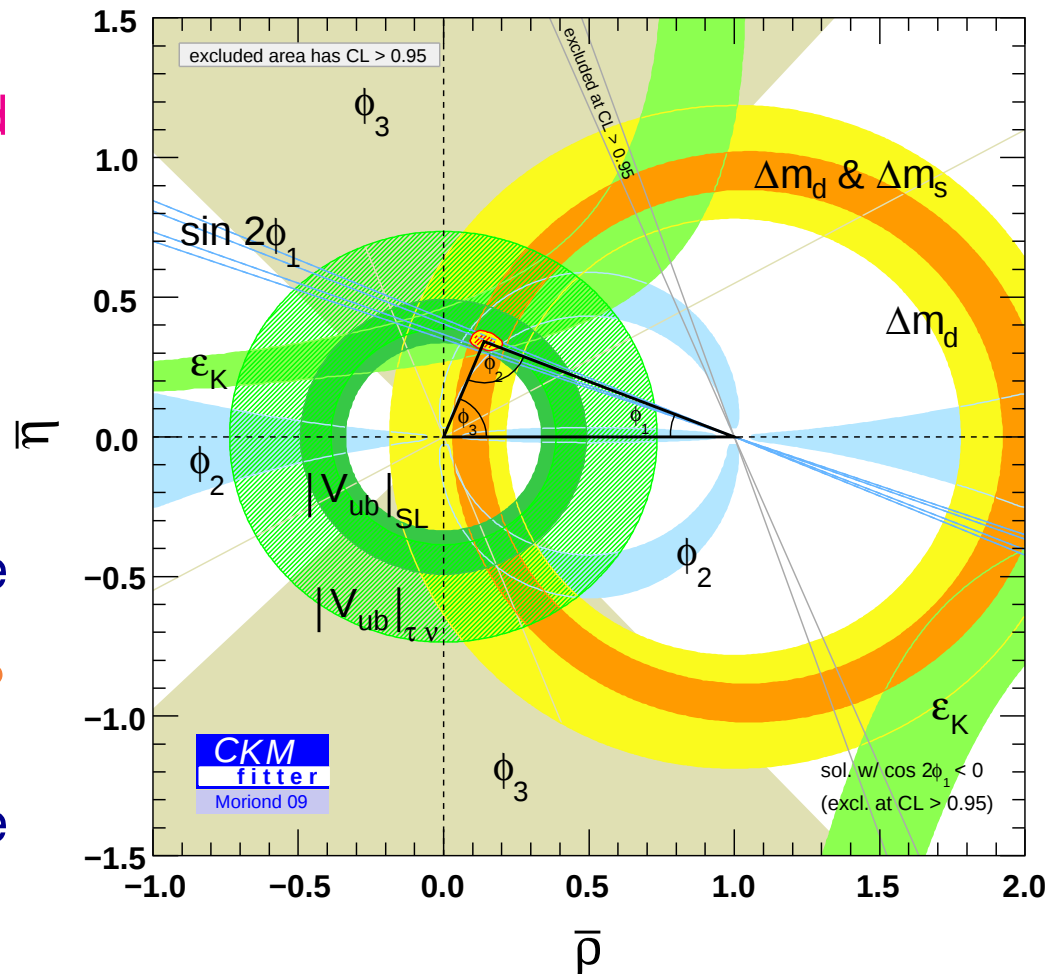
The importance of $|V_{ub}|$

- $|V_{ub}|$ determined by tree-level decays
Crucial for comparing tree-dominated and loop-mediated processes

- $|V_{ub}|_{\pi\ell\bar{\nu}\text{-LQCD}} = (3.5 \pm 0.5) \times 10^{-3}$
 $|V_{ub}|_{\text{incl-BLNP}} = (4.32 \pm 0.35) \times 10^{-3}$
 $|V_{ub}|_{\tau\nu} = (5.2 \pm 0.5 \pm 0.4 f_B) \times 10^{-3}$
SM CKM fit, $\sin 2\phi_1$, favors small value

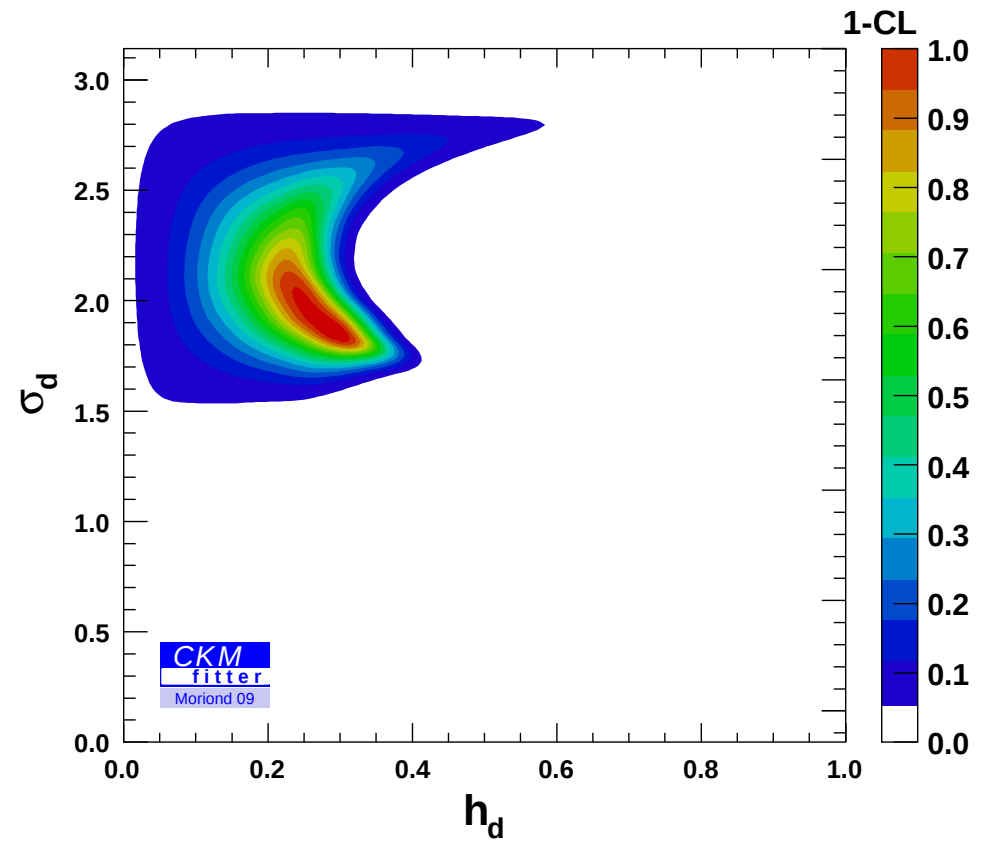
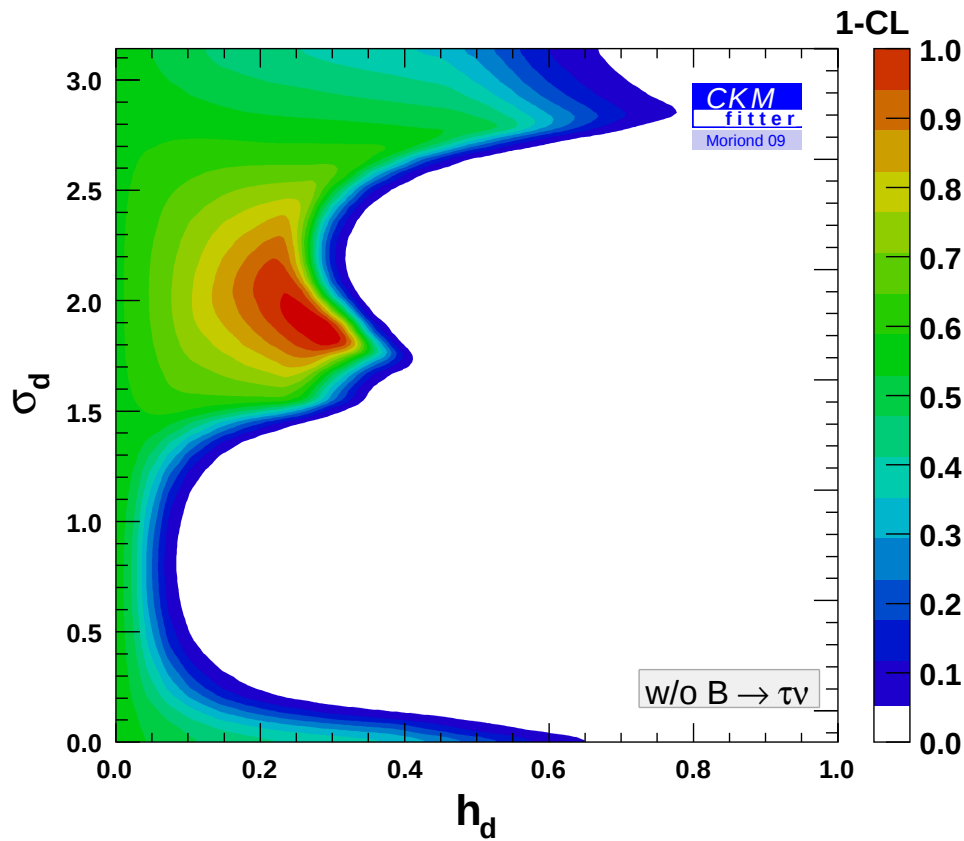
- Fluctuation, bad theory, new physics?
- The level of agreement between the measurements often misinterpreted

- 10–20% non-SM contributions to most loop-mediated transitions are still possible



$|V_{ub}|$ matters...

- Including $B \rightarrow \tau \bar{\nu}$, the SM is “disfavored” at $> 2\sigma$



Parameterize NP in $B^0 - \bar{B}^0$ mixing: $M_{12} = M_{12}^{\text{SM}} (1 + h_d e^{2i\sigma_d})$

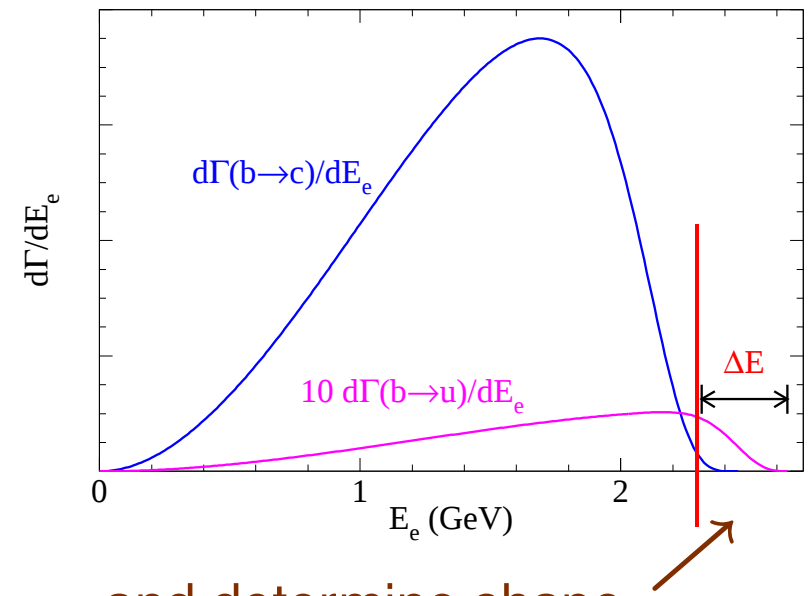
- 10–20% non-SM contributions to most loop-mediated transitions are still possible

The challenge of inclusive $|V_{ub}|$ measurements

- Total rate known with $\sim 4\%$ accuracy, similar to $\mathcal{B}(B \rightarrow X_c \ell \bar{\nu})$ [Hoang, ZL, Manohar]

- To remove the huge charm background ($|V_{cb}/V_{ub}|^2 \sim 100$), need phase space cuts

Phase space cuts can enhance perturbative and nonperturbative corrections drastically



Nonperturbative effects shift endpoint $\frac{1}{2} m_b \rightarrow \frac{1}{2} m_B$ and determine shape

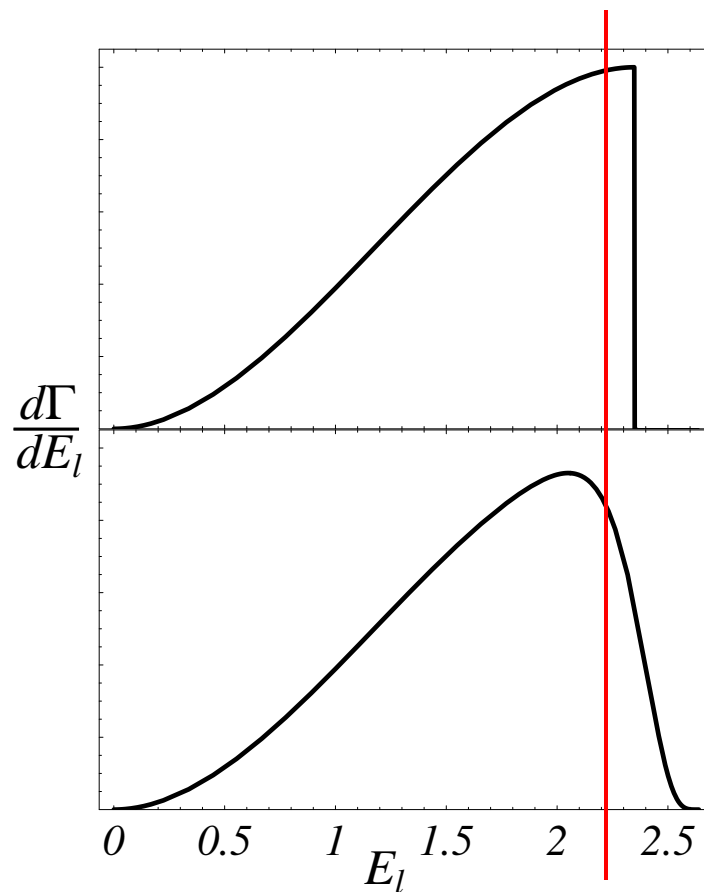
- Endpoint region determined by b quark PDF in B ; want to extract it from data to make predictions — at lowest order $\propto B \rightarrow X_s \gamma$ photon spectrum

[Bigi, Shifman, Uraltsev, Vainshtein; Neubert]

$|V_{ub}|$: lepton endpoint vs. $B \rightarrow X_s \gamma$

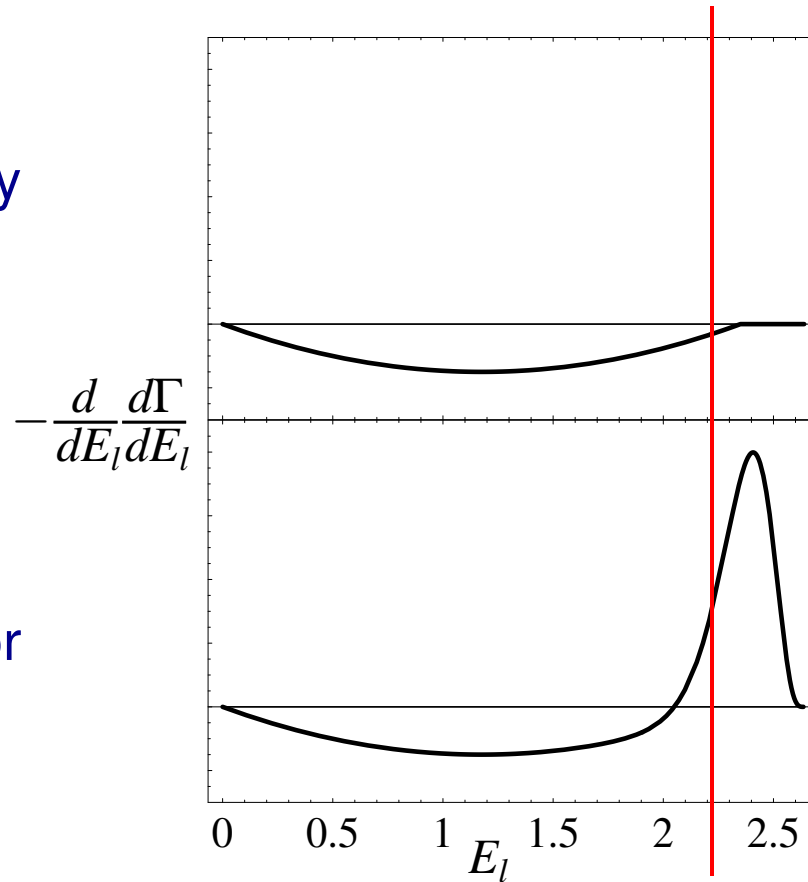
b quark decay
spectrum

with a model for
 b quark PDF



$|V_{ub}|$: lepton endpoint vs. $B \rightarrow X_s \gamma$

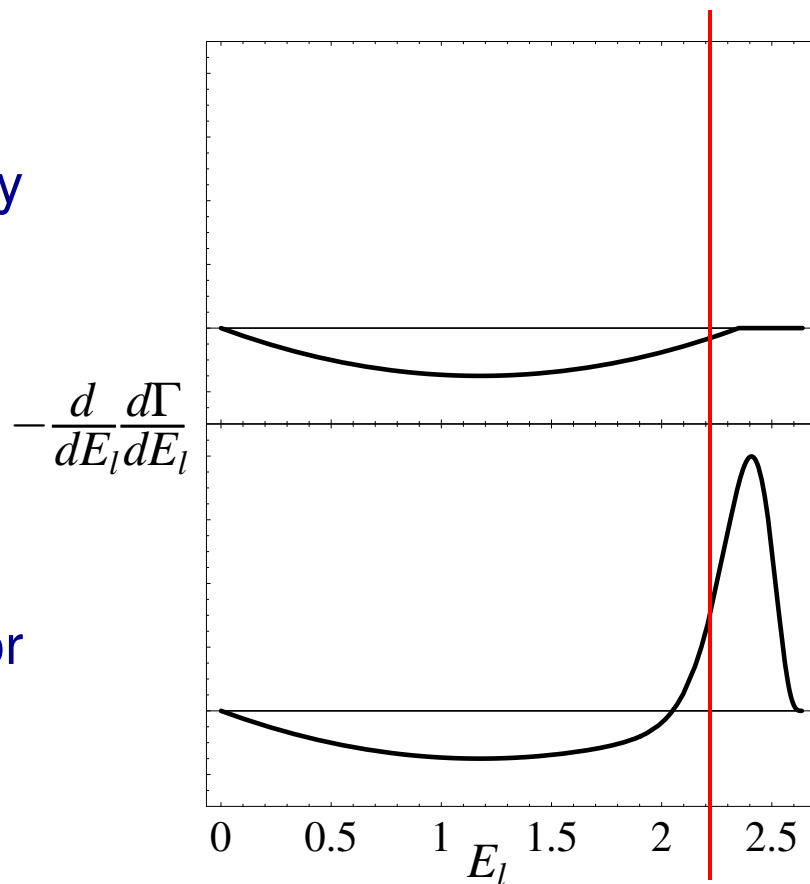
b quark decay
spectrum



with a model for
 b quark PDF

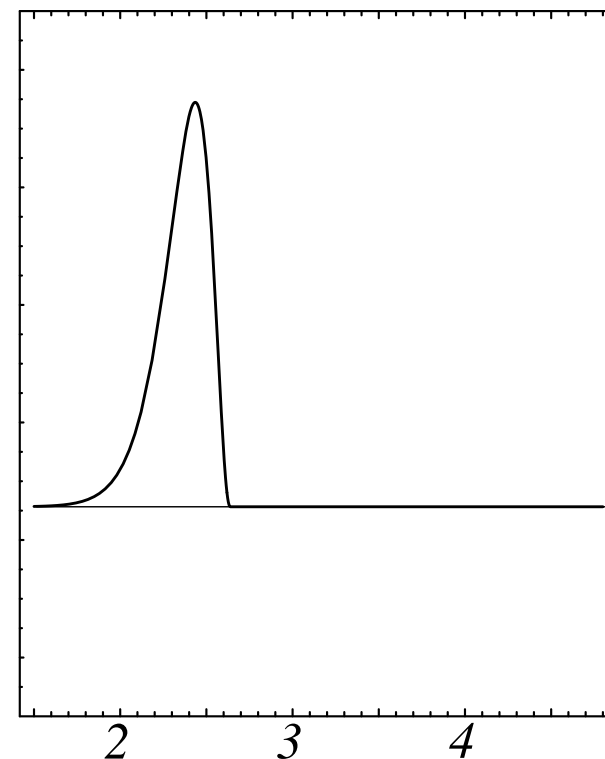
$|V_{ub}|$: lepton endpoint vs. $B \rightarrow X_s \gamma$

b quark decay
spectrum



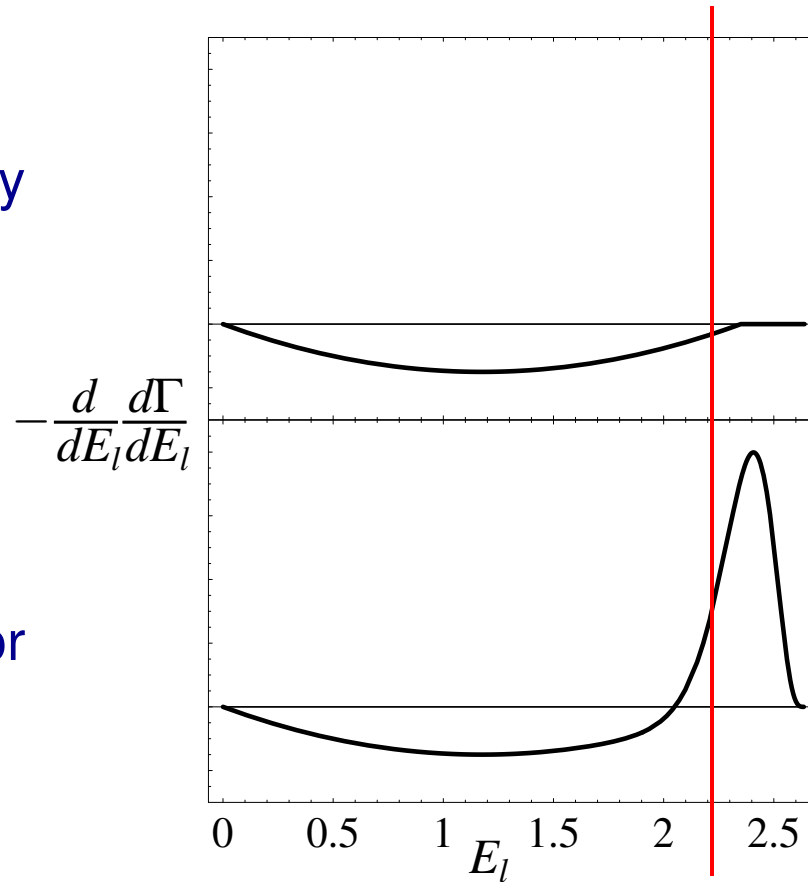
with a model for
 b quark PDF

difference:

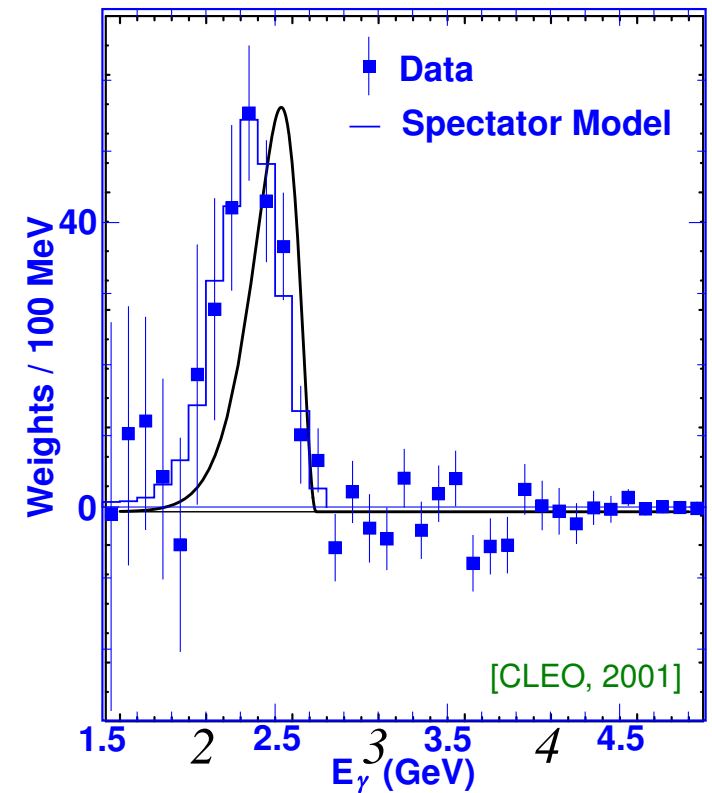


$|V_{ub}|$: lepton endpoint vs. $B \rightarrow X_s \gamma$

b quark decay
spectrum



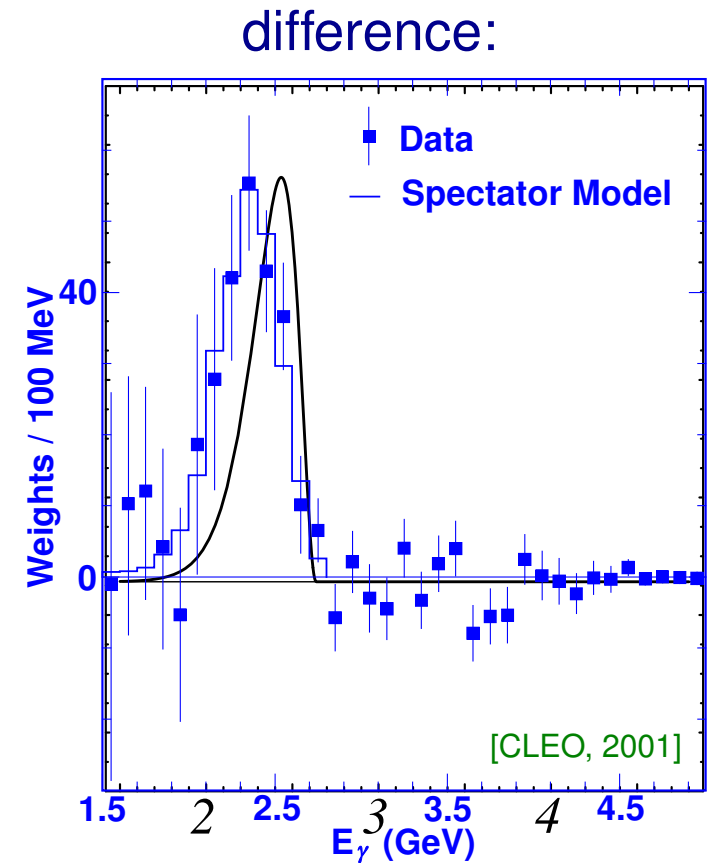
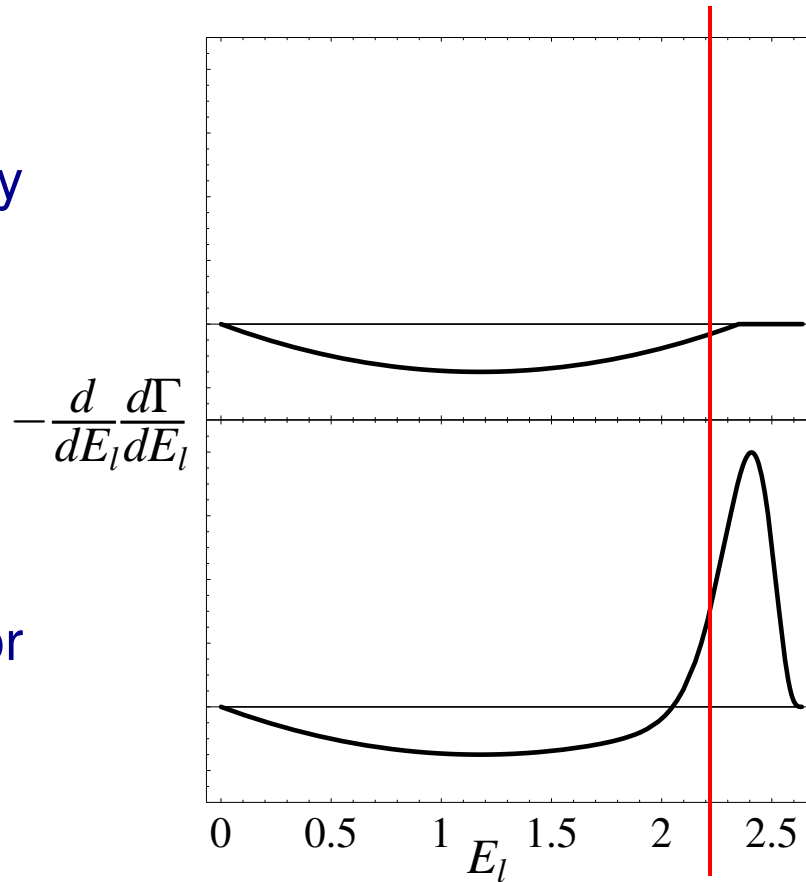
difference:



$|V_{ub}|$: lepton endpoint vs. $B \rightarrow X_s \gamma$

b quark decay
spectrum

with a model for
 b quark PDF



- Both of these spectra are determined at lowest order by the b quark PDF in the B
- Lots of work toward extending beyond leading order; many open issues...

Past efforts: BLNP (best so far)

- Treated factorization & resummation in shape function region correctly
- Use fixed functional forms to model shape function (similar to PDF's)
- Analysis tied to shape function scheme for m_b, λ_1
(One scheme for each approach)
- Need for “tail gluing” to get correct perturbative tail (other approaches don't even do this [DGE, GGOU, ADFR])

⇒ Hard to assess uncertainties

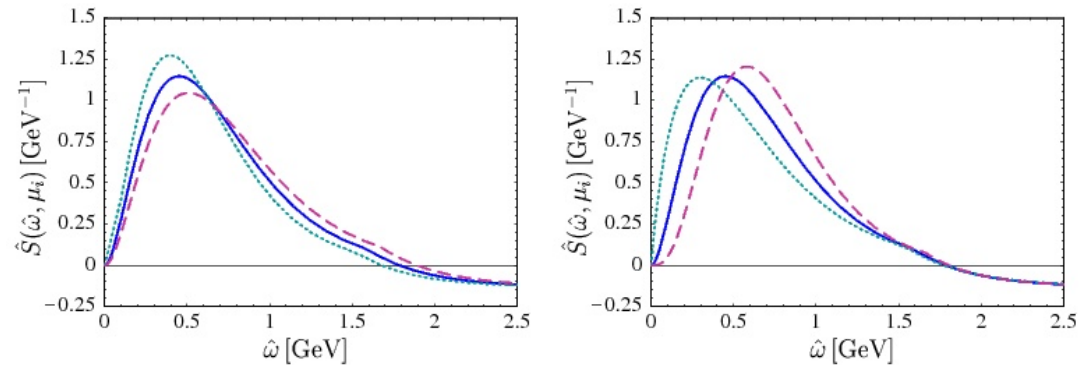


Figure 6: Various models for the shape function at the intermediate scale $\mu_i = 1.5$ GeV, corresponding to different parameter settings in Table 1. Left: Functions S1, S5, S9 with “correlated” parameter variations. Right: Functions S3, S5, S7 with “anti-correlated” parameter variations.

[Bosch, Lange, Neubert, Paz]

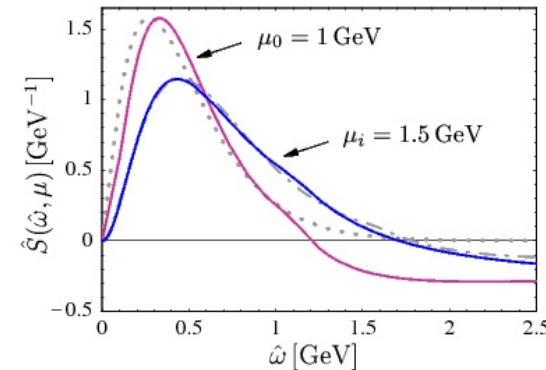


Figure 7: Renormalization-group evolution of a model shape function from a low scale μ_0 (sharply peaked solid curve) to the intermediate scale μ_i (broad solid curve). See the text for an explanation of the other curves.

Start with $B \rightarrow X_s \gamma$

[ZL, Stewart, Tackmann, PRD 78 (2008) 114014, arXiv:0807.1926]

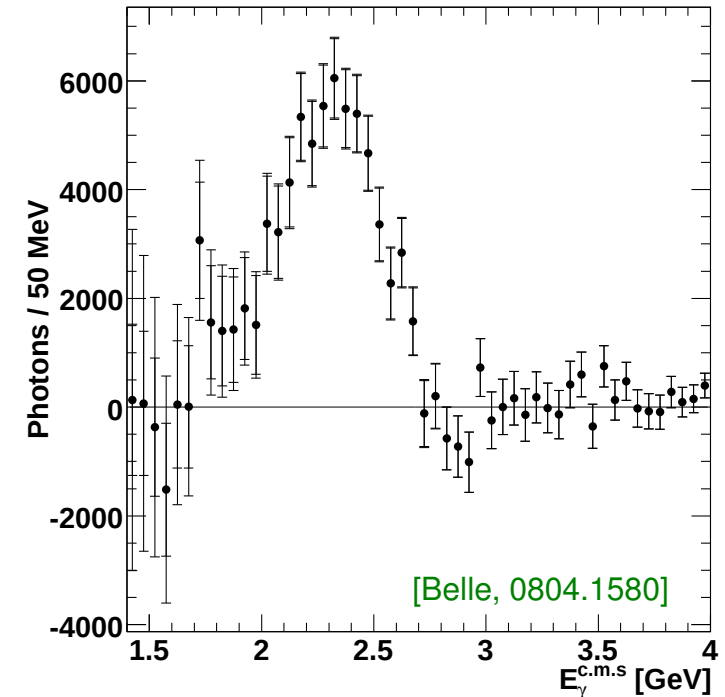
Regions of phase space

- $B \rightarrow X_s \gamma$ gives one of the strongest bounds on NP
- $p_X^+ \equiv m_B - 2E_\gamma \lesssim 2 \text{ GeV}$, and $< 1 \text{ GeV}$ at the peak

Three cases: 1) $\Lambda \sim m_B - 2E_\gamma \ll m_B$
 2) $\Lambda \ll m_B - 2E_\gamma \ll m_B$
 3) $\Lambda \ll m_B - 2E_\gamma \sim m_B$

[Sometimes called 1) SCET and 2) MSOPE]

- Neither 1) nor 2) is fully appropriate



- Include all known results in regions 1) – 2)

LL: 1-loop Γ_{cusp} , tree-level matching
 NLL: 2-loop Γ_{cusp} , 1-loop matching, 1-loop γ_x
 NNLL: 3-loop Γ_{cusp} , 2-loop matching, 2-loop γ_x

We can combine 1) – 2) without expanding shape function in Λ/p_X^+

The shape function (b quark PDF in B)

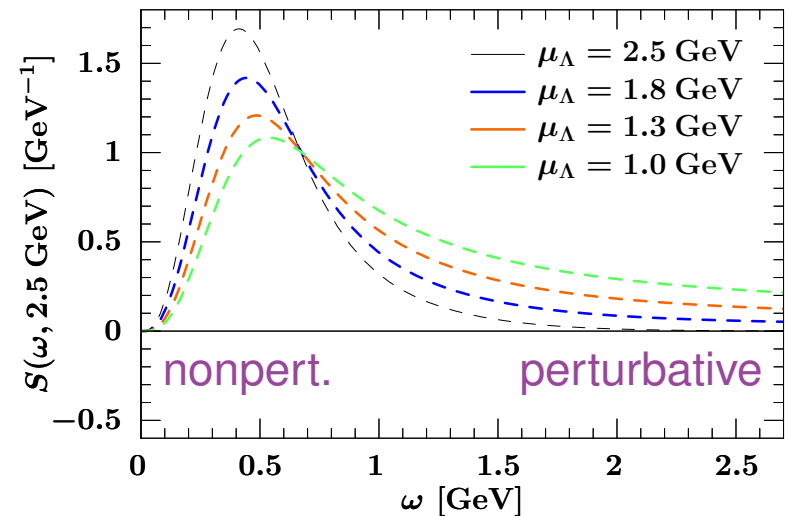
- The shape function $S(\omega, \mu)$ contains nonperturbative physics and obeys a RGE

If $S(\omega, \mu_\Lambda)$ has exponentially small tail, any small running gives a long tail and divergent moments

[Balzereit, Mannel, Kilian]

$$S(\omega, \mu_i) = \int d\omega' U_S(\omega - \omega', \mu_i, \mu_\Lambda) S(\omega', \mu_\Lambda)$$

Constraint: moments (OPE) + $B \rightarrow X_s \gamma$ shape
How to combine these?



Model $\left\{ \begin{array}{l} S \text{ (dash)} \\ \text{run to 2.5 GeV} \end{array} \right.$

The shape function (b quark PDF in B)

- The shape function $S(\omega, \mu)$ contains nonperturbative physics and obeys a RGE

If $S(\omega, \mu_\Lambda)$ has exponentially small tail, any small running gives a long tail and divergent moments

[Balzereit, Mannel, Kilian]

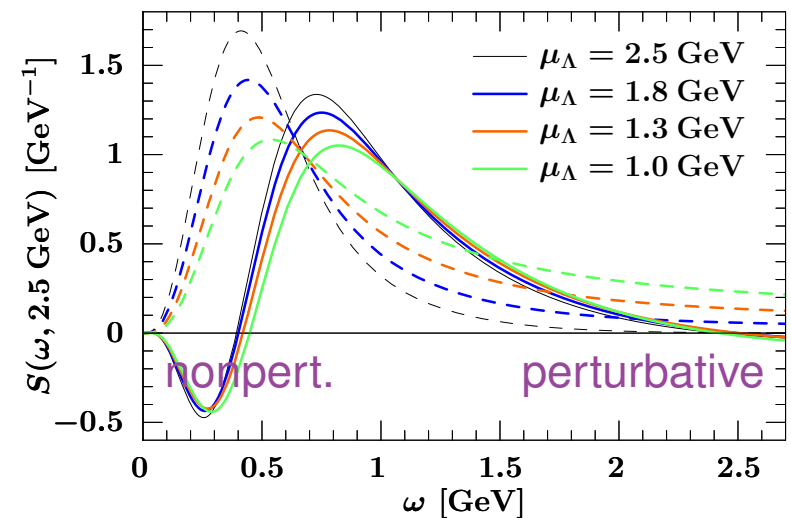
$$S(\omega, \mu_i) = \int d\omega' U_S(\omega - \omega', \mu_i, \mu_\Lambda) S(\omega', \mu_\Lambda)$$

Constraint: moments (OPE) + $B \rightarrow X_s \gamma$ shape
How to combine these?

- Consistent setup at any order, in any scheme
- Stable results for varying μ_Λ
(SF modeling scale, must be part of uncert.)
- Similar to how all matrix elements are defined
e.g., $B_K(\mu) = \hat{B}_K [\alpha_s(\mu)]^{2/9} (1 + \dots)$

Derive: [ZL, Stewart, Tackmann, 0807.1926]

$$S(\omega, \mu_\Lambda) = \int dk C_0(\omega - k, \mu_\Lambda) F(k)$$



Model $\begin{cases} S & \text{(dash)} \\ F & \text{(solid)} \end{cases}$ run to 2.5 GeV

- Consistent to impose moment constraints on $F(k)$, but not on $S(\omega, \mu_\Lambda)$ w/o cutoff

Derivation of the magic formula (1)

- The shape function is the matrix element of a nonlocal operator:

$$S(\omega, \mu) = \langle B | \underbrace{\bar{b}_v \delta(iD_+ - \delta + \omega) b_v}_{O_0(\omega, \mu)} | B \rangle, \quad \delta = m_B - m_b$$

Integrated over a large enough region, $0 \leq \omega \leq \Lambda$, one can expand O_0 as

$$O_0(\omega, \mu) = \sum C_n(\omega, \mu) \underbrace{\bar{b}_v (iD_+ - \delta)^n b_v}_{Q_n} + \dots = \sum C_n(\omega - \delta, \mu) \underbrace{\bar{b}_v (iD_+)^n b_v}_{\tilde{Q}_n} + \dots$$

The C_n are the same for Q_n and $\tilde{Q}_n$ (since O_0 only depends on $\omega - \delta$)

- Matching:** $\langle b_v | O_0(\omega + \delta, \mu) | b_v \rangle = \sum C_n(\omega, \mu) \langle b_v | \tilde{Q}_n | b_v \rangle = C_0(\omega, \mu), \quad \langle b_v | \tilde{Q}_n | b_v \rangle = \delta_{0n}$

$$\langle b_v(k_+) | O_0(\omega + \delta, \mu) | b_v(k_+) \rangle = C_0(\omega + k_+, \mu) = \sum \frac{k_+^n}{n!} \frac{d^n C_0(\omega, \mu)}{d\omega^n}$$

$$\langle b_v(k_+) | O_0(\omega + \delta, \mu) | b_v(k_+) \rangle = \sum C_n(\omega, \mu) \langle b_v | \tilde{Q}_n | b_v \rangle = \sum C_n(\omega, \mu) k_+^n$$

- Comparing last two lines:** $C_n(\omega, \mu) = \frac{1}{n!} \frac{d^n C_0(\omega, \mu)}{d\omega^n}$

[Bauer & Manohar]

Derivation of the magic formula (2)

- Define the nonperturbative function $F(k)$ by: [ZL, Stewart, Tackmann; Lee, ZL, Stewart, Tackmann]

$$S(\omega, \mu_\Lambda) = \int dk C_0(\omega - k, \mu_\Lambda) F(k), \quad C_0(\omega, \mu) = \langle b_v | O_0(\omega + \delta, \mu) | b_v \rangle$$

uniquely defines $F(k)$: $\tilde{F}(y) = \tilde{S}(y, \mu) / \tilde{C}_0(y, \mu)$

- Expand in k : $S(\omega, \mu) = \sum_n \frac{1}{n!} \frac{d^n C_0(\omega, \mu)}{d\omega^n} \int dk (-k)^n F(k)$

Compare with previous page $\Rightarrow \int dk k^n F(k) = (-1)^n \langle B | Q_n | B \rangle$

$$\langle B | Q_0 | B \rangle = 1, \quad \langle B | Q_1 | B \rangle = -\delta, \quad \langle B | Q_2 | B \rangle = -\frac{\lambda_1}{3} + \delta^2$$

More complicated situation for higher moments, so stop here

- This treatment is fully consistent with the OPE

Changing schemes: m_b

- Converting results to a short distance mass scheme removes dip at small ω :

- Want to define short distance (hatted) quantities such that:

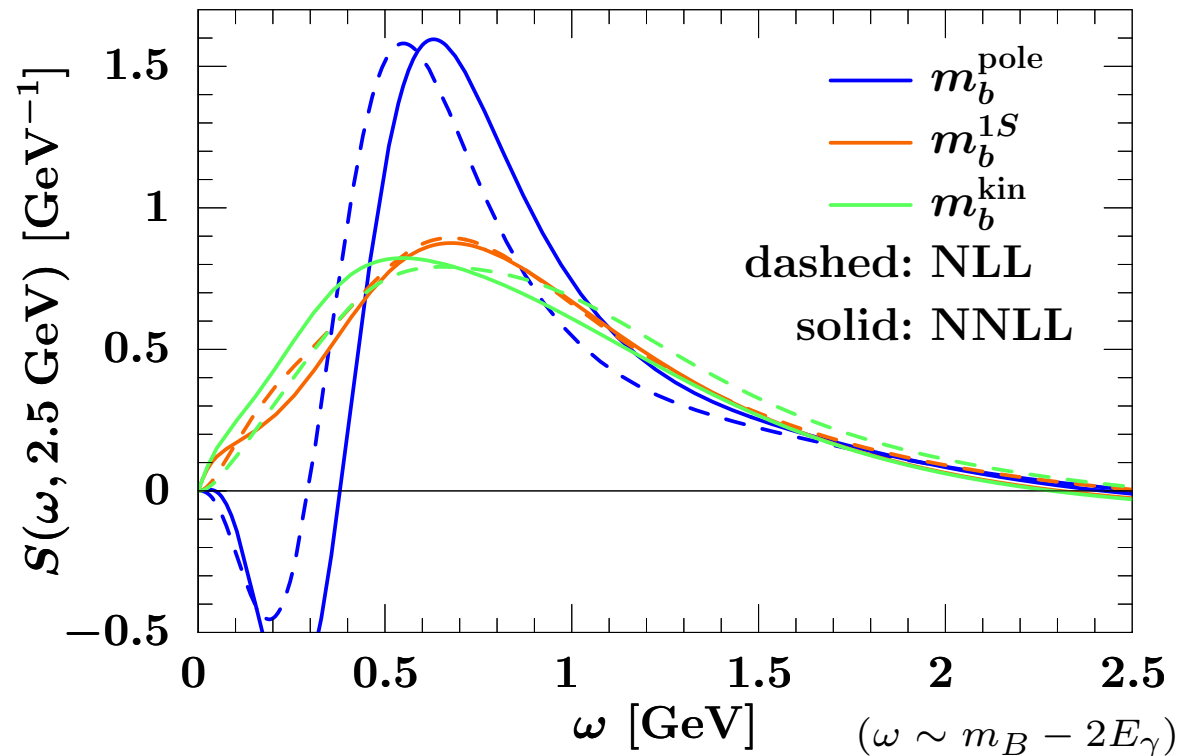
$$\begin{aligned} S(\omega) &= \int dk C_0(\omega - k) F(k) \\ &= \int dk \hat{C}_0(\omega - k) \hat{F}(k) \end{aligned}$$

Switch from pole to short distance scheme:

$$\begin{aligned} m_b &= \hat{m}_b + \delta m_b \\ \lambda_1 &= \hat{\lambda}_1 + \delta \lambda_1 \end{aligned}$$

$$\hat{C}_0(\omega) = C_0(\omega + \delta m_b) - \frac{\delta \lambda_1}{6} \frac{d^2}{d\omega^2} C_0(\omega) = \left[1 + \delta m_b \frac{d}{d\omega} + \left(\frac{(\delta m_b)^2}{2} - \frac{\delta \lambda_1}{6} \right) \frac{d^2}{d\omega^2} \right] C_0(\omega)$$

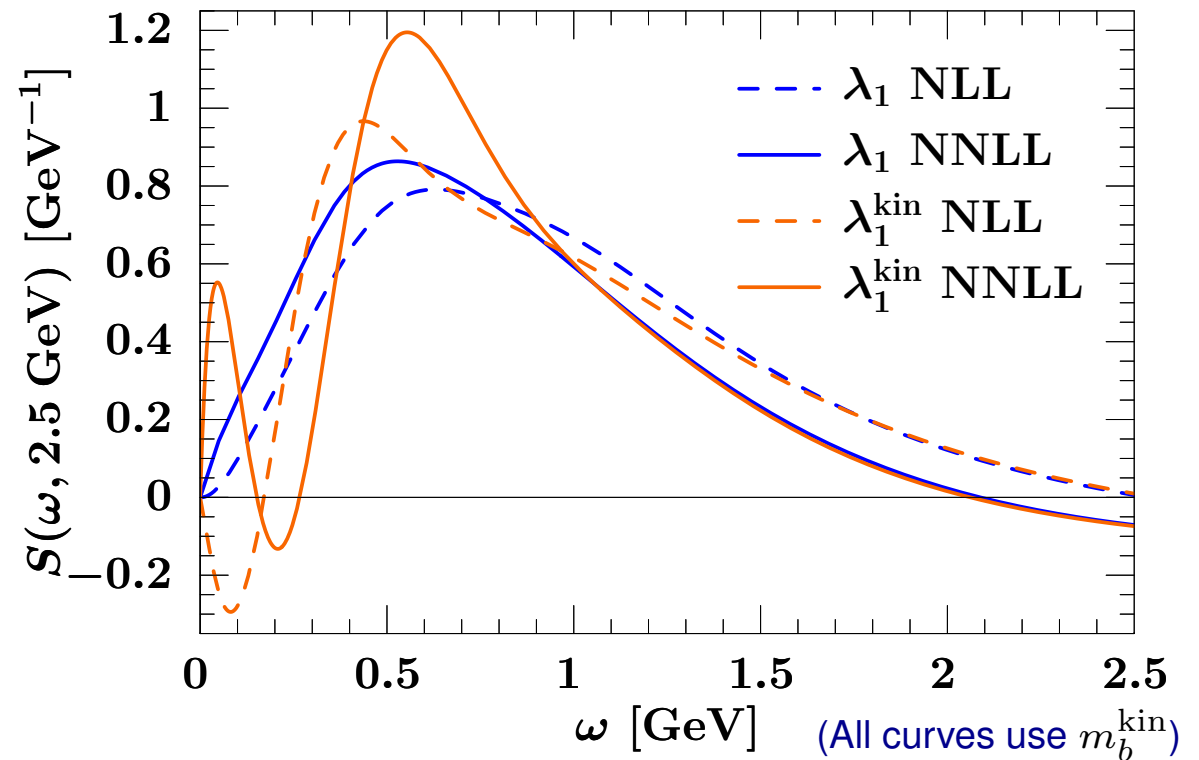
- Can use any short distance mass scheme (1S, kinetic, PS, shape function, ...)



Changing schemes: λ_1

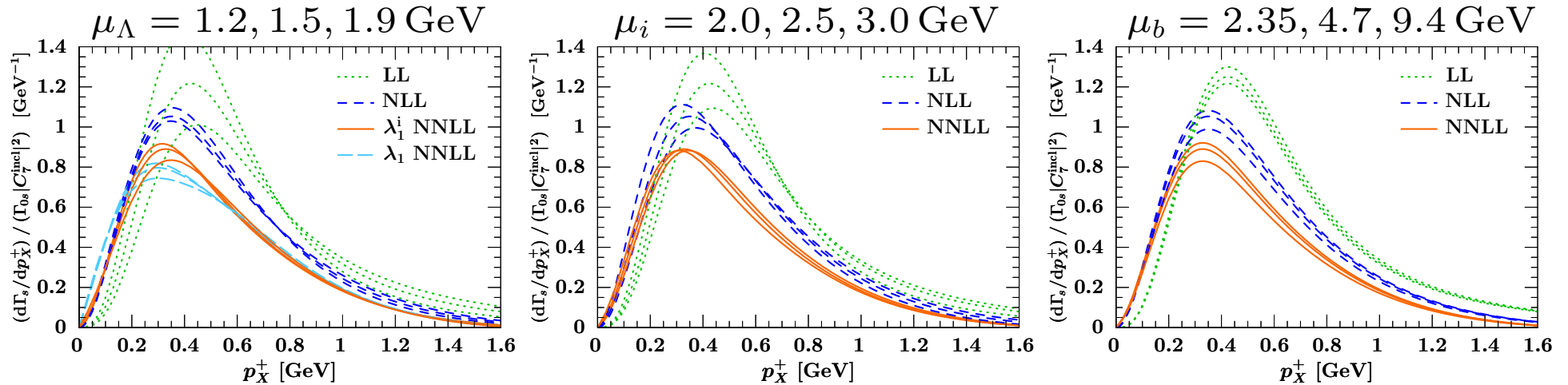
- We find that kinetic scheme, $\mu_\pi^2 \equiv -\lambda_1^{\text{kin}}$, oversubtracts; similar to $\overline{m}_b(\overline{m}_b)$ issues
- Introduce “invisible” scheme: $\lambda_1^i = \lambda_1 - 0\alpha_s - R^2 \frac{\alpha_s^2(\mu)}{\pi^2} \frac{C_F C_A}{4} \left(\frac{\pi^2}{3} - 1 \right)$ ($R = 1 \text{ GeV}$)

- Yet to be seen if shape function scheme for λ_1 gives good behavior



Scale (in)dependence of $B \rightarrow X_s \gamma$ spectrum

- Dependence on 3 scales in the problem:



$$\frac{d\Gamma_s}{dp_X^+} = \Gamma_{0s} H_s(p_X^+, \mu_b) U_H(m_b, \mu_b, \mu_i) \int dk \hat{P}(m_b, k, \mu_i) \hat{F}(p_X^+ - k) \quad (p_X^+ = m_B - 2E_\gamma)$$

$\hat{P}, \hat{F}$ indicate use of short distance schemes: m_b^{1S} and λ_1^i

- In other approaches, using models for $S(\omega, \mu_\Lambda)$ run up to μ_i , dependence on μ_Λ ignored so far, but it must be considered an uncertainty $\Rightarrow$ This is how to solve it

Designer orthonormal functions

- Devise suitable orthonormal basis functions (earlier: fit parameters of model functions to data)

$$\hat{F}(\lambda x) = \frac{1}{\lambda} \left[\sum c_n f_n(x) \right]^2, \quad n \text{th moment} \sim \Lambda_{\text{QCD}}^n$$

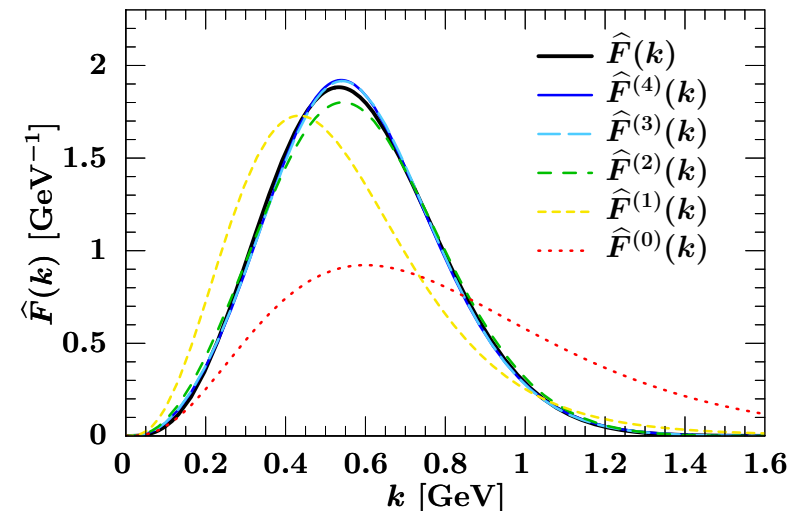
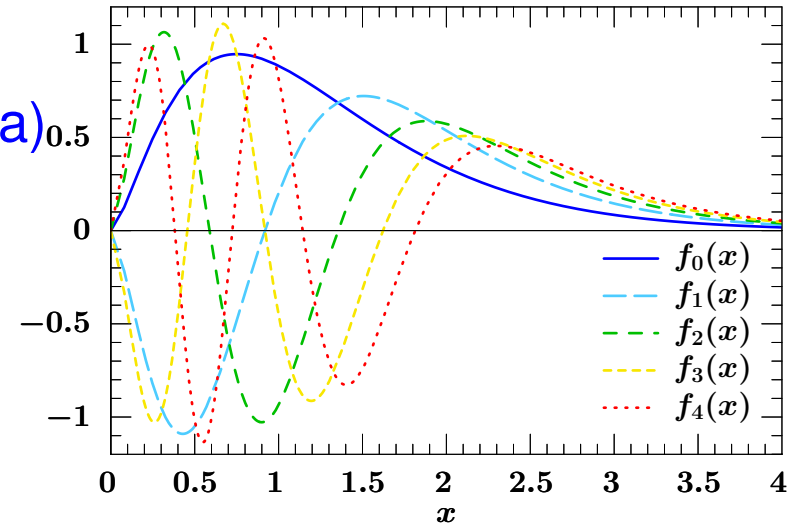
$$f_n(x) \sim P_n[y(x)] \leftarrow \text{Legendre polynomials}$$

- Approximating a model shape function

Better to add a new term in an orthonormal basis than a new parameter to a model:

- less parameter correlations
- errors easier to quantify

“With four parameters I can fit an elephant, and with five I can make him wiggle his trunk.” (John von Neumann)

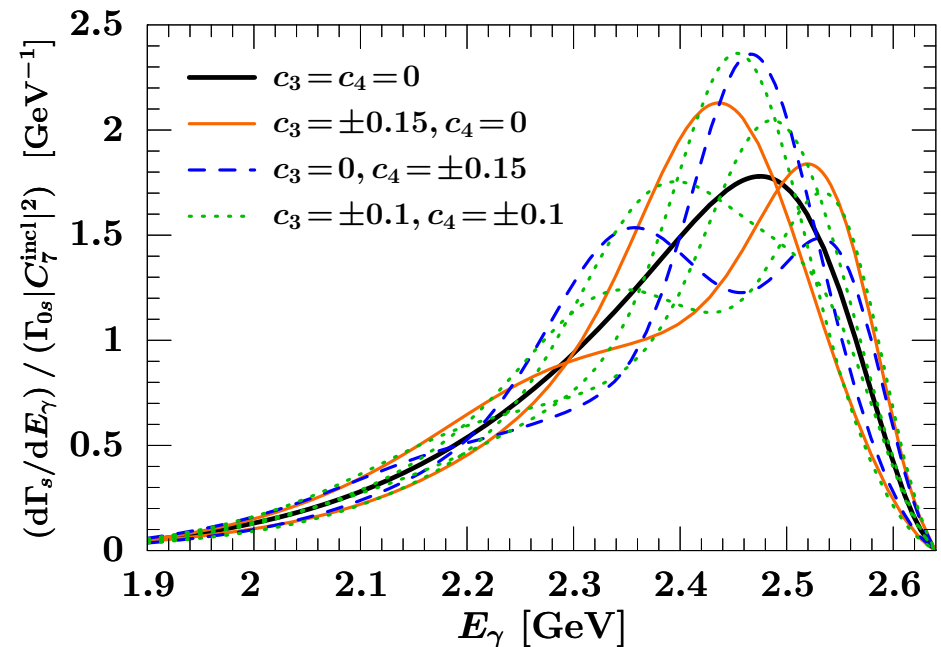


Back to the $B \rightarrow X_s \gamma$ spectrum

- 9 models: same 0th, 1st, 2nd moments

Including all NNLL contributions, find:

- Shape in peak region not determined at all by first few moments
- Smaller shape function uncertainty for $E_\gamma \lesssim 2.1$ GeV than earlier studies



- Not shown in this plot: subleading shape functions
subleading corrections not in $C_7^{\text{incl}}(0)$
kinematic power corrections
boost to $\Upsilon(4S)$ frame
- Same analysis can also be used for: $B \rightarrow X_s \ell^+ \ell^-$, $|V_{ub}|$

More complicated: $B \rightarrow X_u \ell \bar{\nu}$

[ZL, Stewart, Tackmann, to appear]

Regions of phase space (again)

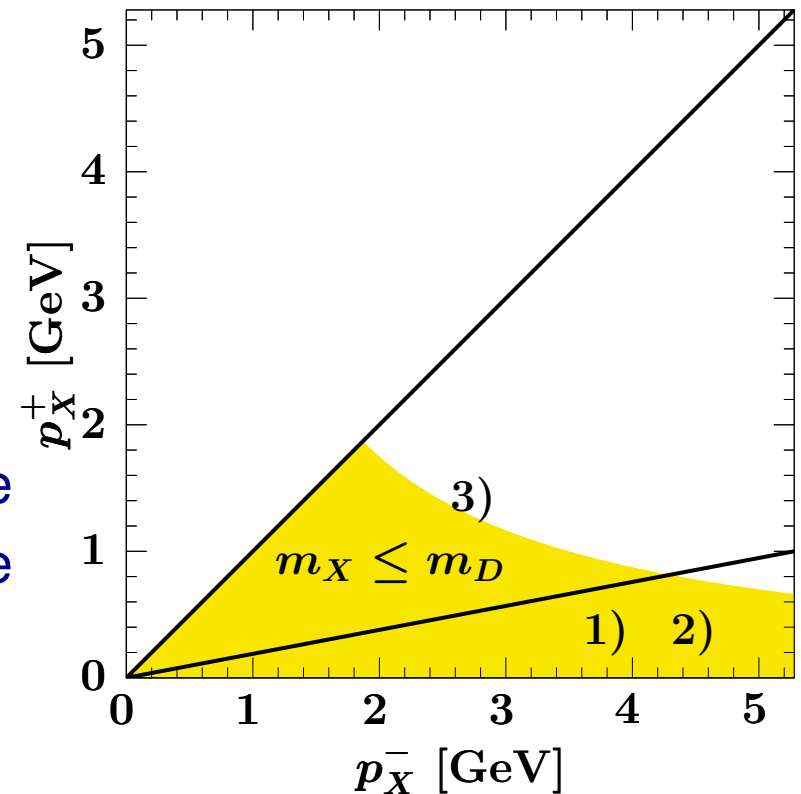
- “Natural” kinematic variables: $p_X^\pm = E_X \mp |\vec{p}_X|$ — “jettness” of hadronic final state
 $B \rightarrow X_s \gamma$: $p_X^+ = m_B - 2E_\gamma$ & $p_X^- \equiv m_B$, but independent variables in $B \rightarrow X_u \ell \bar{\nu}$

- Three cases:
 - 1) $\Lambda \sim p_X^+ \ll p_X^-$
 - 2) $\Lambda \ll p_X^+ \ll p_X^-$
 - 3) $\Lambda \ll p_X^+ \sim p_X^-$

Make no assumptions how p_X^- compares to m_B

- $B \rightarrow X_u \ell \bar{\nu}$: 3-body final state, appreciable rate in region 3), where hadronic final state not jet-like

E.g., $m_X^2 < m_D^2$ does not imply $p_X^+ \ll p_X^-$



- Can combine 1)–2) just as we did in $B \rightarrow X_s \gamma$, by not expanding in Λ/p_X^+

Charmless $B \rightarrow X_u \ell \bar{\nu}$ made charming

- To combine all 3 regions: do not expand in Λ/p_X^+ nor in p_X^+/p_X^- (nontrivial!)
- **Want to have:** result accurate to NNLL and Λ_{QCD}/m_b in regions 1)–2) and to order $\alpha_s^2 \beta_0$ and $\Lambda_{\text{QCD}}^2/m_b^2$ when phase space limits are in region 3)

Start with triple differential rate (involves a delta-fn at the parton level at $\mathcal{O}(\alpha_s^0)$, which is smeared by the shape function)

The p_X^+/p_X^- terms, which are not suppressed in local OPE region, start at $\mathcal{O}(\alpha_s)$

Recently completed $\mathcal{O}(\alpha_s^2)$ matching computations

[Ben's & Guido's talks]

[Bonciani & Ferroglia, 0809.4687; Asatrian, Greub, Pecjak, 0810.0987; Beneke, Huber, Li, 0810.1230; Bell, 0810.5695]



SIMBA



[Bernlochner, Lackner, ZL, Stewart, Tackmann, Tackmann, to appear]

Fitting charmless inclusive decay spectra

- **Fit strategy:** $\hat{F}(k)$ enters the spectra linearly $\Rightarrow$ can calculate independently the contribution of $f_m f_n$ in the expansion of $\hat{F}(k)$:

$$d\Gamma = \sum \underbrace{c_m c_n}_{\text{fit}} \underbrace{d\Gamma_{mn}}_{\text{compute}}$$

$$d\Gamma_{mn} = \Gamma_0 H(p^-) \int_0^{p_X^+} dk \frac{\hat{P}(p^-, k)}{\lambda} \underbrace{f_m\left(\frac{p_X^+ - k}{\lambda}\right) f_n\left(\frac{p_X^+ - k}{\lambda}\right)}_{\text{basis functions}}$$

Fit the c_i coefficients from the measured (binned) spectra

- What we hope to achieve:
 - Correlation and error propagation of SF uncertainties
 - Simultaneous fit using all available information
 - Realistic estimate of model uncertainties (fit parameters $c_{0,\dots,N}$ constrained by data; vary N , the number of orthonormal basis functions in fit)

A preliminary $B \rightarrow X_s \gamma$ fit

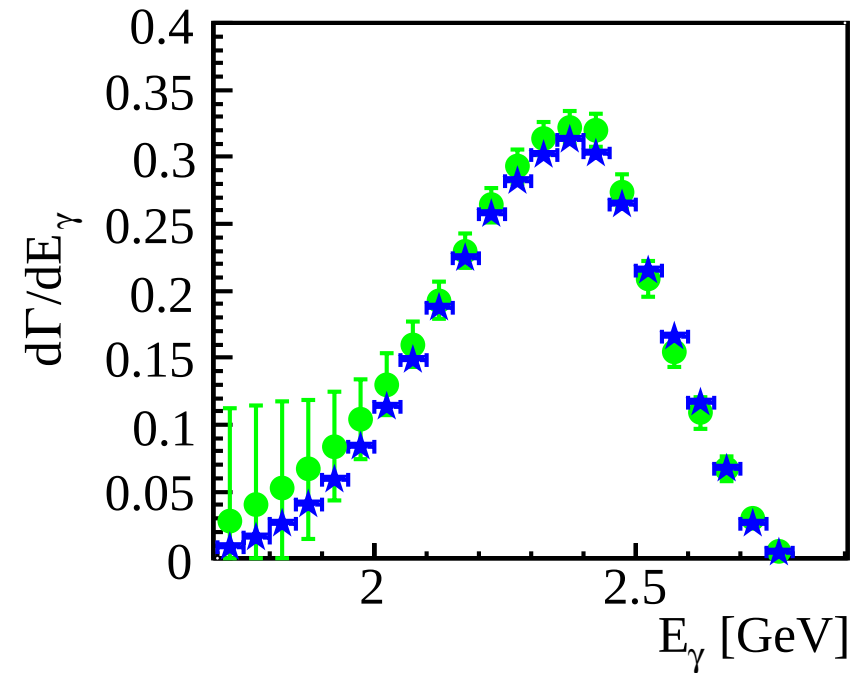
- Belle $B \rightarrow X_s \gamma$ spectrum in $\Upsilon(4S)$ restframe

For demonstration purposes only — there are very strong correlations

Fit with 4 basis functions in the expansion of the shape function

Shows that fit works (not as trivial as this plot might indicate); still issues to resolve

[Belle, 0804.1580 + Preliminary; thanks to Antonio Limosani]



- Next step: include various $B \rightarrow X_u \ell \bar{\nu}$ measurements

Conclusions

- Improving accuracy of $|V_{ub}|$ will remain important to constrain new physics
(Current situation unsettled, PDG in 2008 inflated error for the first time)
- Qualitatively better inclusive analyses possible than those that exist so far
 - Modeling $F(k)$ instead of $S(\omega, \mu)$
 - Designer orthonormal functions
 - Fully consistent combination of 3 phase space regions
 - Decouple SF shape variation from m_b variation
- Developments will allow combining all pieces of data with tractable uncertainties
 - Consistently combine $B \rightarrow X_u \ell \bar{\nu}$, $B \rightarrow X_s \gamma$, $B \rightarrow X_c \ell \bar{\nu}$ data to constrain SF's
 - Reduce role of shape function modeling
- To draw conclusions about new physics comparing sides and angles, we'll want ≥ 2 extractions of $|V_{ub}|$ with different uncertainties (inclusive, exclusive, leptonic)