

From FQHE to new field-theoretic dualities

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CTP50, MIT, 3/24/2018

Plan

- Fractional quantum Hall effect as a nonperturbative problem
- “Old” composite fermion
- Missing symmetries
- New composite fermion and dualities

Refs: DTS, PRX 2015

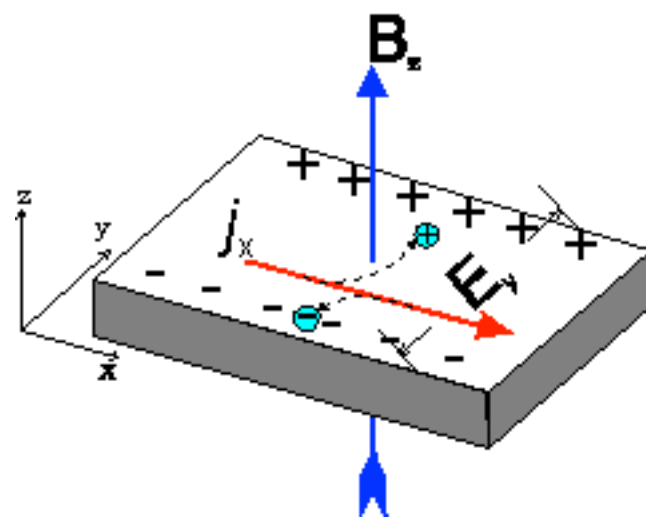
D.X. Nguyen, S.Golkar, M.Roberts, DTS arxiv:1709.07855

New dualities suggested by FQHE

- Duality: equivalence between two field theories, which often look completely different from each other
- A famous example: duality between sine-Gordon theory and Thirring model in $(1+1)D$ Coleman 1975
 - boson = fermion
- Another well known example: duality between complex scalar and abelian Higgs model in $(2+1)D$
 - particle on one side = vortex on the other side
Peskin; Dasgupta, Halperin 1970s
- FQHE: duality between two fermionic theories

The Hall effect

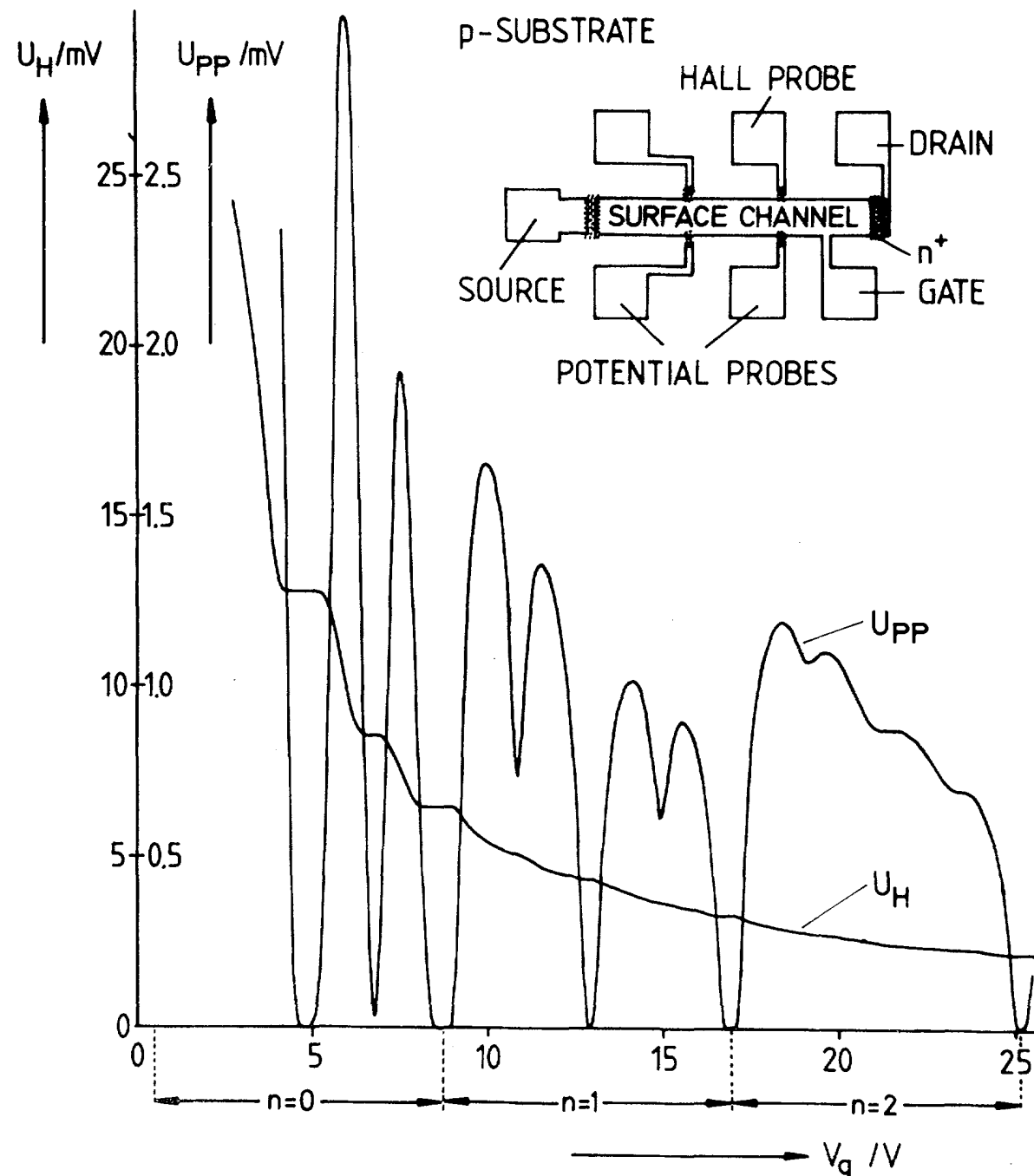
- Quantum Hall effects are among the most surprising discoveries in physics
- In 1879 Edwin Hall discovered voltage perpendicular to electric current in a magnetic field (the Hall effect)



$$j_x = \sigma_{xx}E_x + \sigma_{xy}E_y$$

Integer quantum Hall effect

Dorda, Pepper, von Klitzing 1980



Plateaux with **quantized**
Hall conductivity

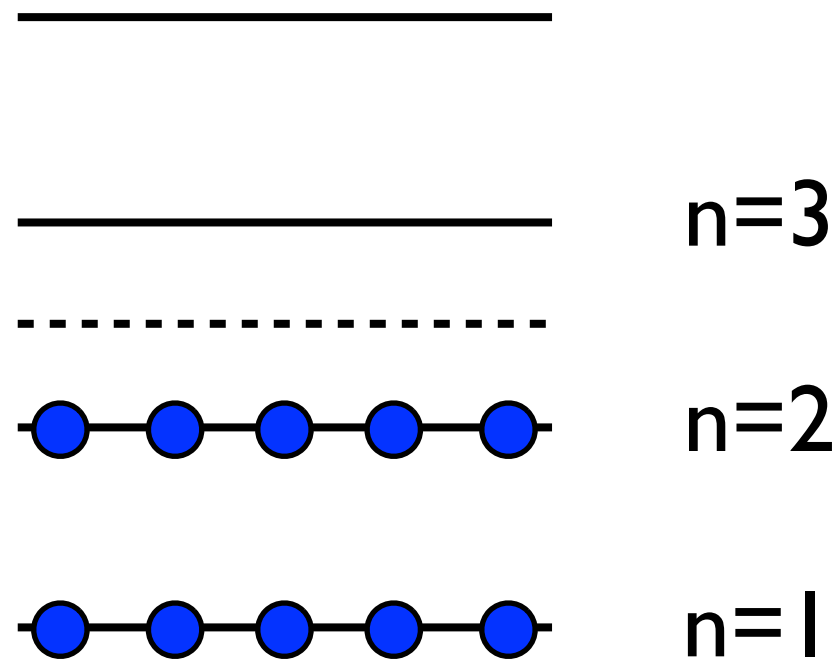
$$\sigma_{xy} = n \frac{e^2}{h}$$

$$n = 1, 2, 3, \dots$$

precision $\sim 10^{-9}$!

Landau level and IQHE

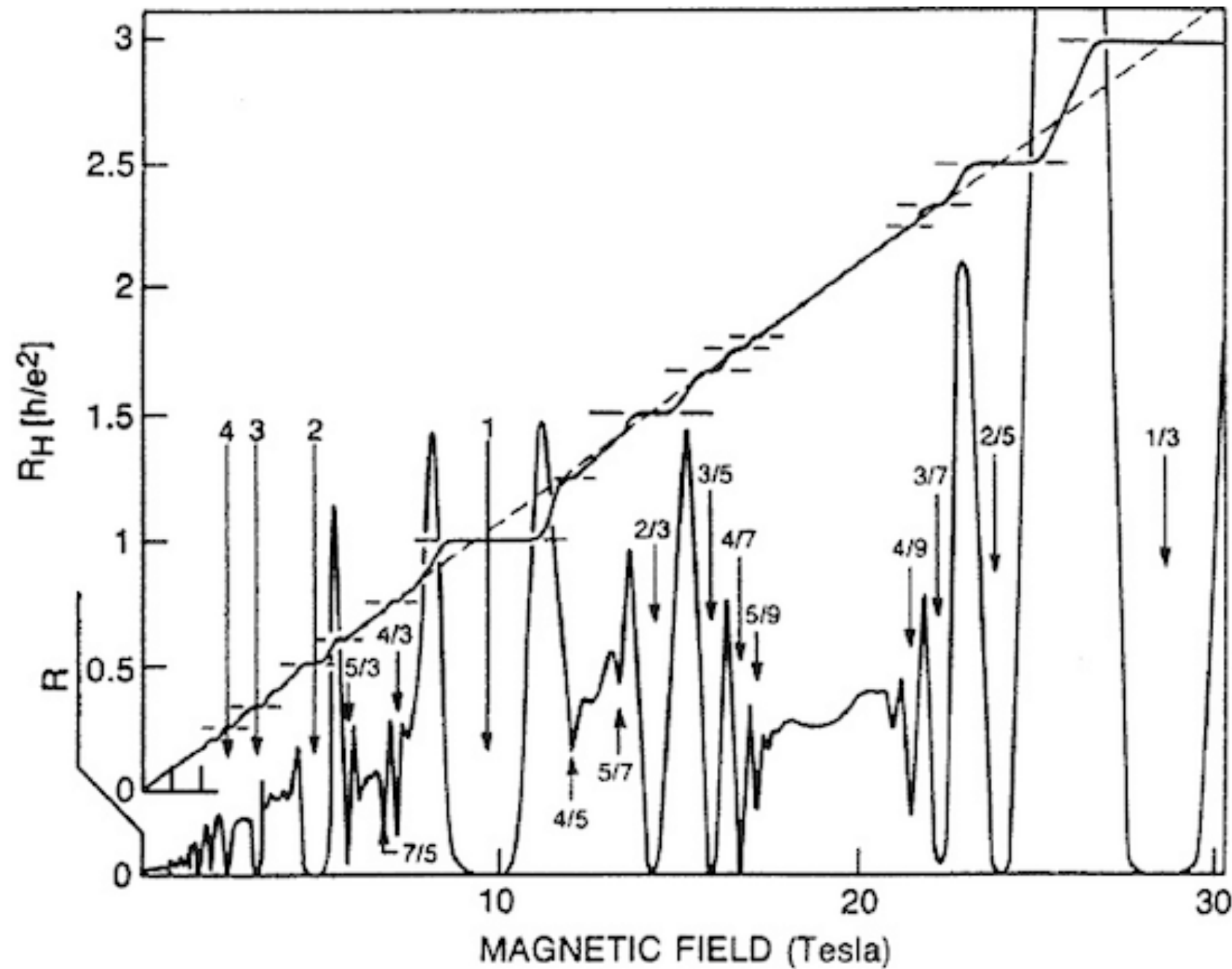
- Landau 1930: discrete energy levels in B field
- IQHE: electrons filling n Landau levels



$$\sigma_{xy} = n \frac{e^2}{h}$$

- Tsui, Stormer, Gossard (1982): quantum Hall effect when a fraction of a Landau level is filled

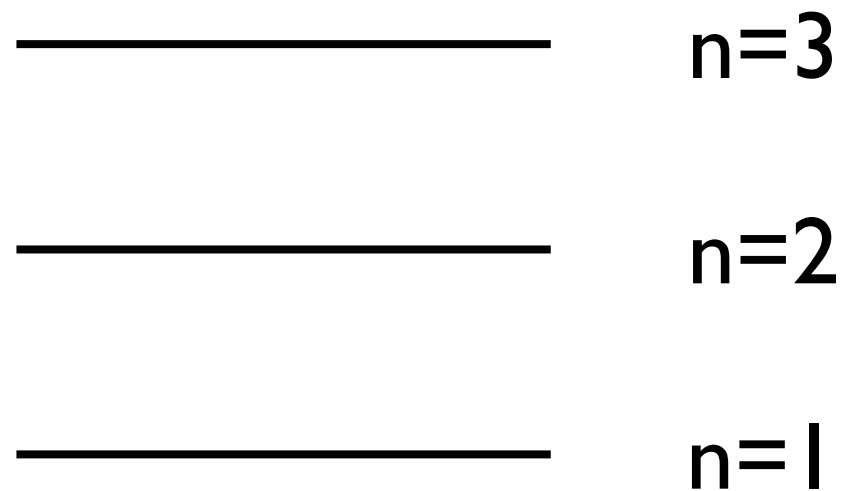
Fractional quantum Hall effect



Stormer 1992

Fractional QHE

Increasing B field: more states available
in lowest Landau level

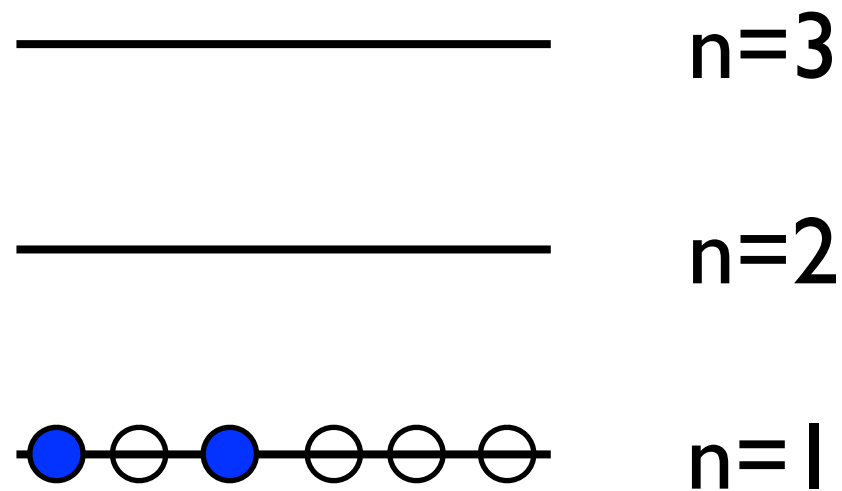


Filling fraction

$$\nu = \frac{\text{number of particles}}{\text{number of magnetic flux quanta}}$$

Fractional QHE

Increasing B field: more states available
in lowest Landau level

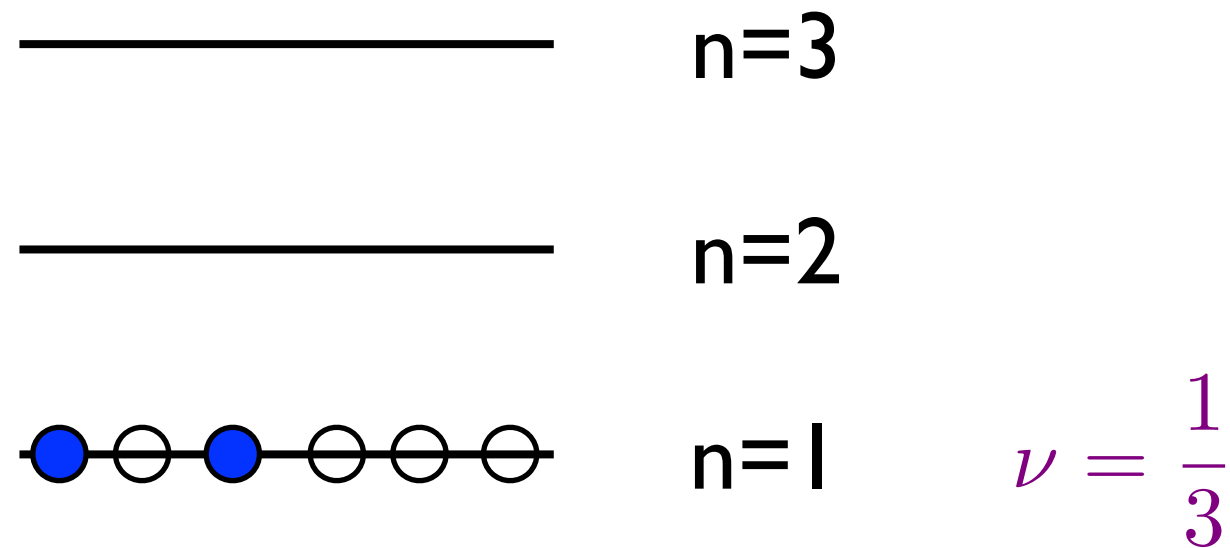


Filling fraction

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Fractional QHE

Increasing B field: more states available
in lowest Landau level



Filling fraction

$$\nu = \frac{\text{number of particles}}{\text{number of magnetic flux quanta}}$$

- The fractional quantum Hall effect was not predicted by theory
 - first theory Laughlin 1983
- Rephrasing Weisskopf: a group of theorists in closed building would not be able to predict FQHE

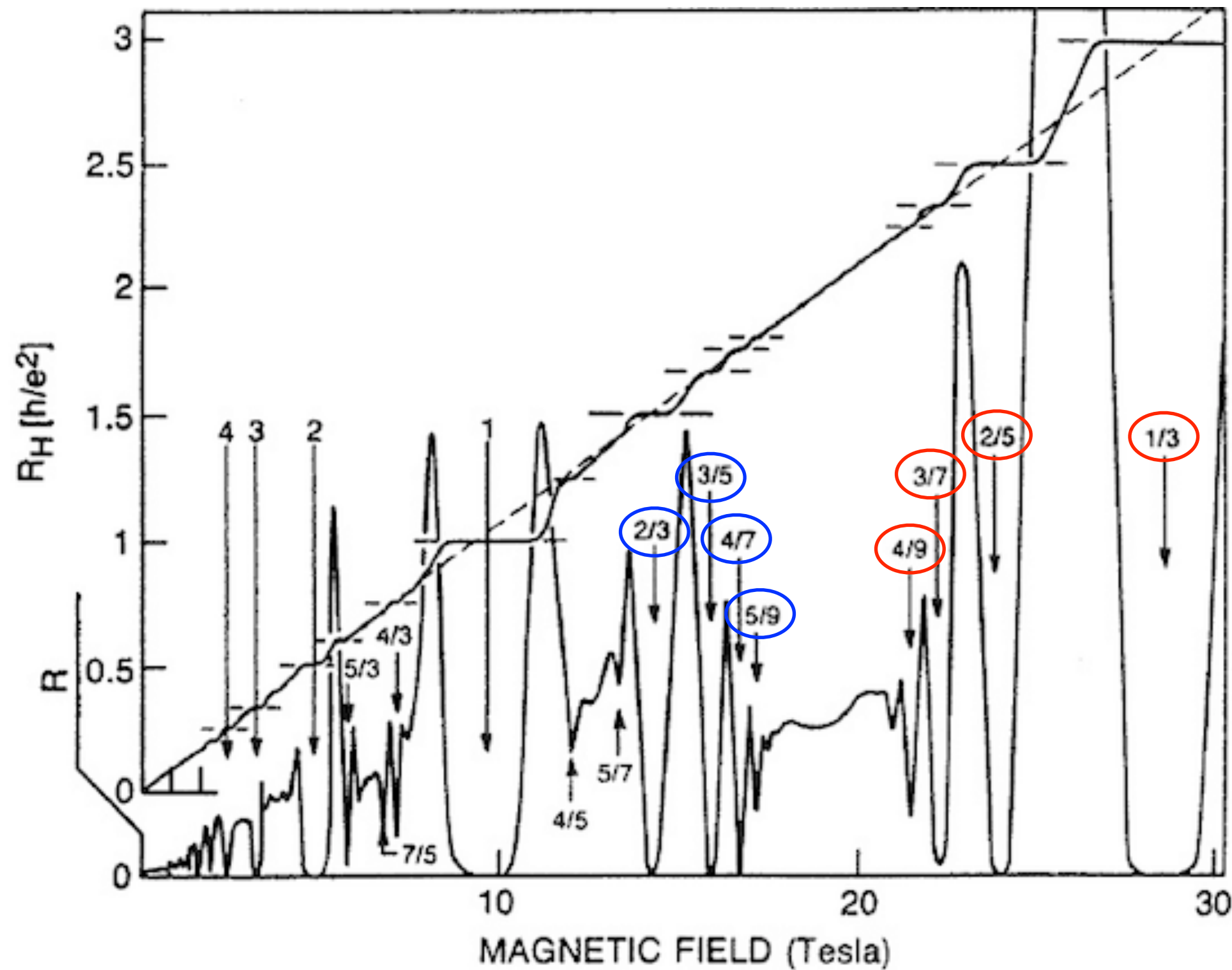
A nonperturbative problem

$$H = \sum_a \frac{(\mathbf{p}_a + e\mathbf{A}_a)^2}{2m} + \sum_{\langle a,b \rangle} \frac{e^2}{|\mathbf{x}_a - \mathbf{x}_b|}$$

$$E_{\text{cyclotron}} = \frac{\hbar e B}{mc} \gg E_{\text{Coulomb}} = \frac{e^2}{\ell_B}$$

No perturbation theory
only one Landau level is important

Nevertheless, some systematics



$$\nu = \frac{n+1}{2n+1}$$

$$\nu = \frac{n}{2n+1}$$

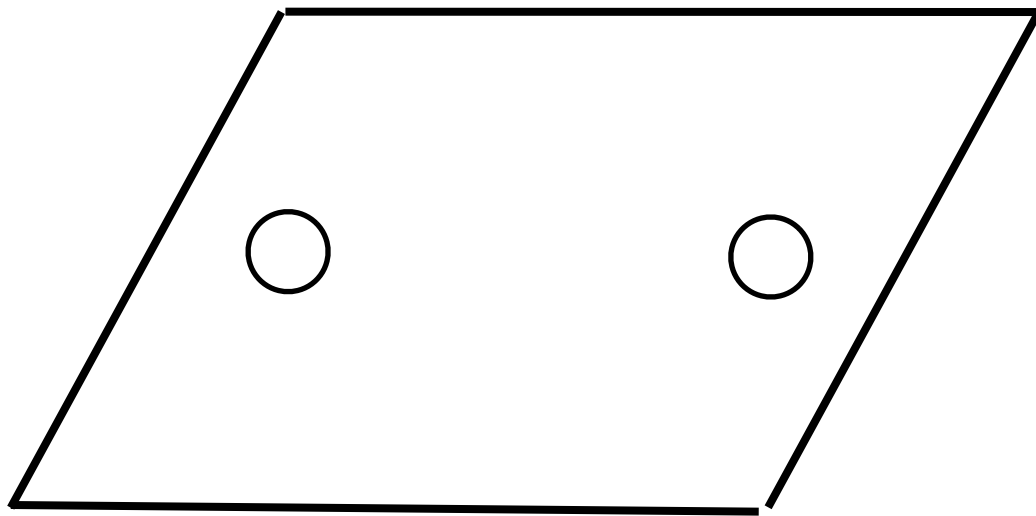
Half filled Landau level

- Most surprisingly, when the Landau level is half filled ($\nu=1/2$), the ground state seems to be a Fermi liquid!
- Fermionic quasiparticle moves in straight line
 - so has to be electrically neutral
 - what is the nature of this quasiparticle?

“Old”
composite fermion

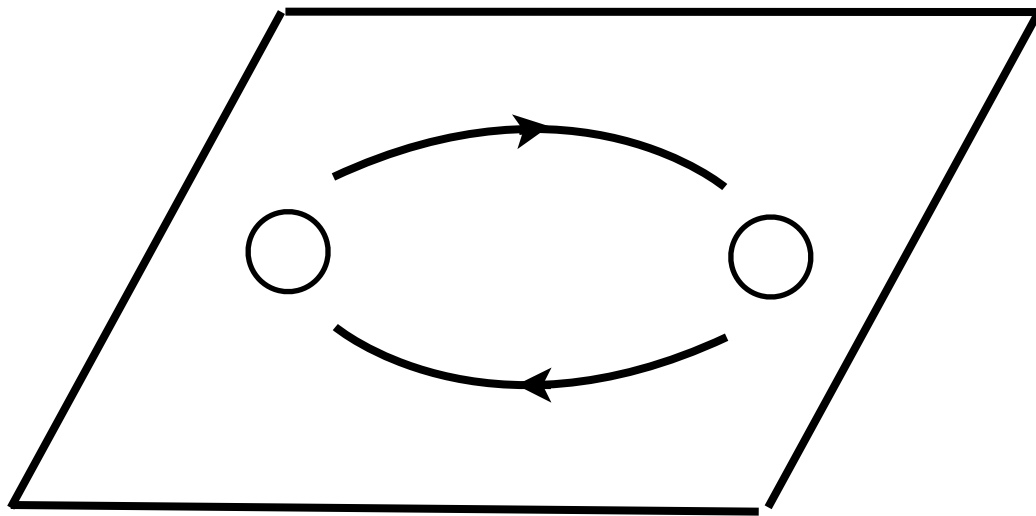
Composite fermion

Flux attachment Wilczek 1982, Jain 1989



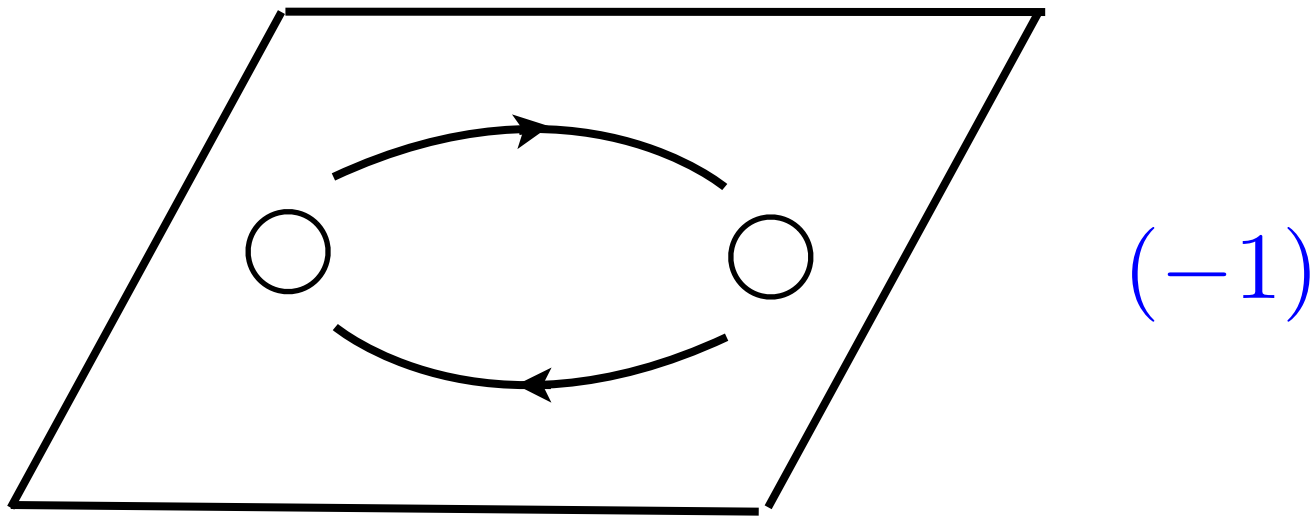
Composite fermion

Flux attachment Wilczek 1982, Jain 1989



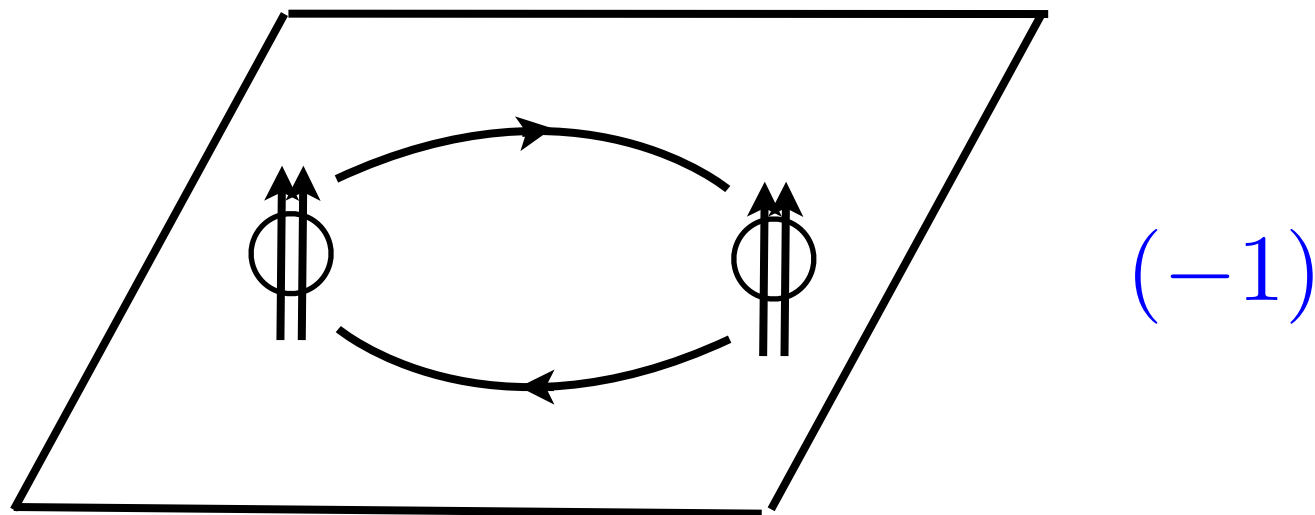
Composite fermion

Flux attachment Wilczek 1982, Jain 1989



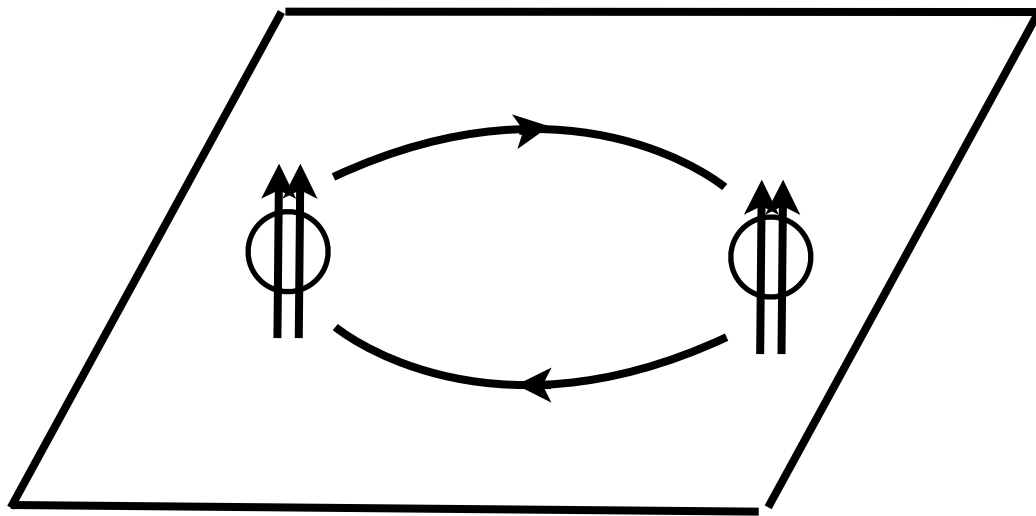
Composite fermion

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Composite fermion

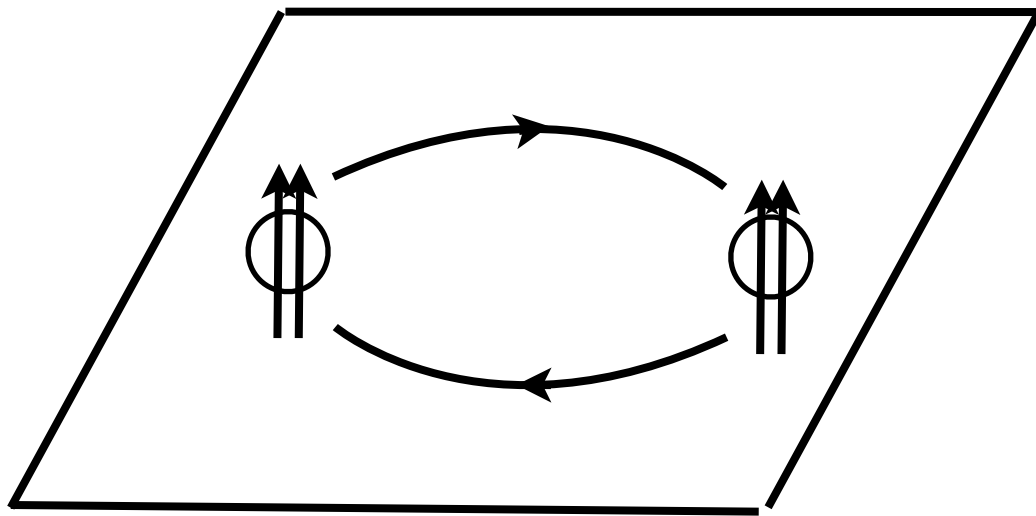
Flux attachment Wilczek 1982, Jain 1989



$$(-1) \exp(2i\pi) = (-1)$$

Composite fermion

Flux attachment Wilczek 1982, Jain 1989

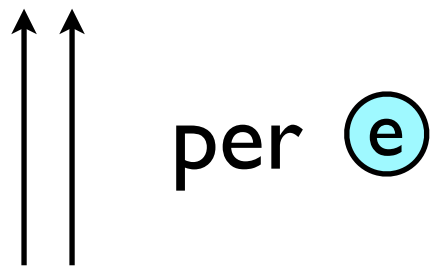
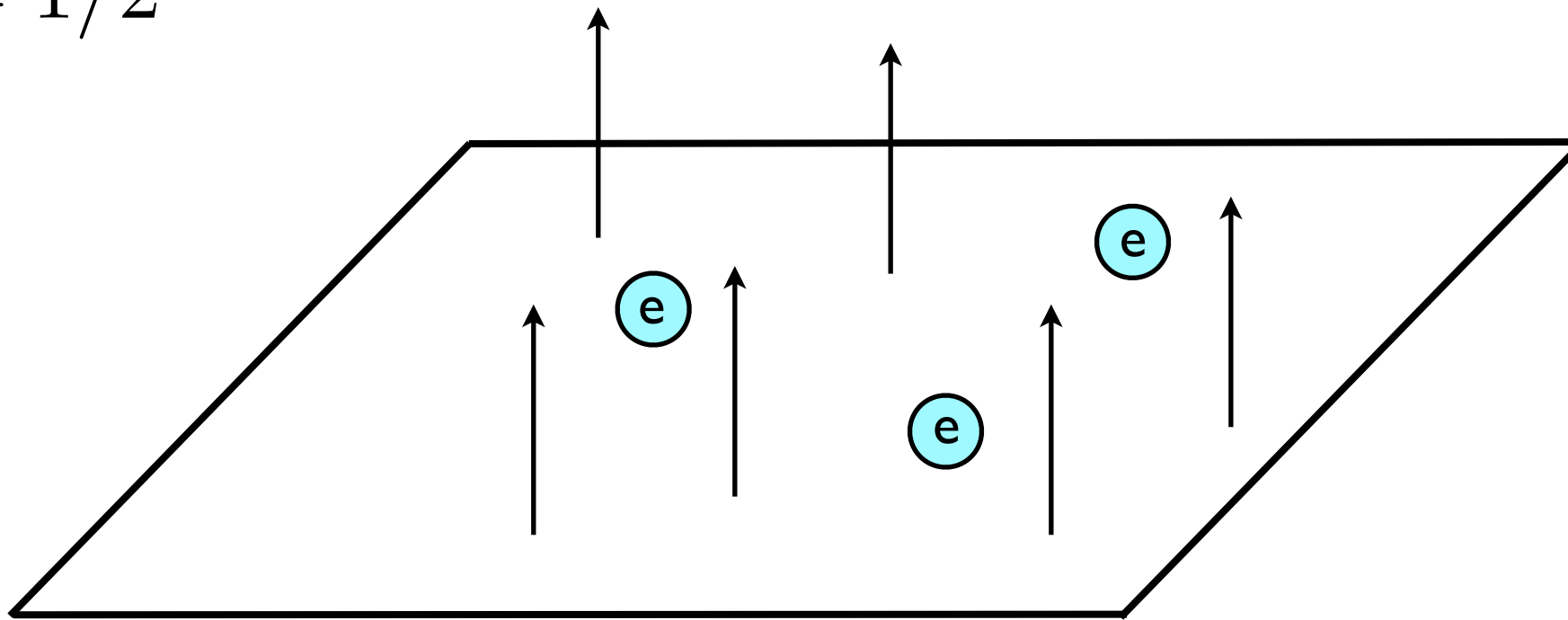


$$(-1) \exp(2i\pi) = (-1)$$

$$\text{e} = \text{CF} \downarrow$$

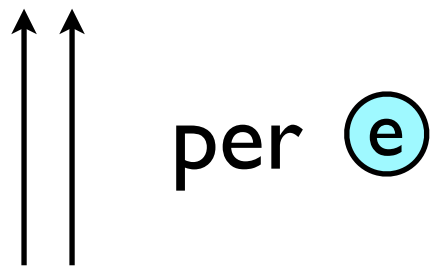
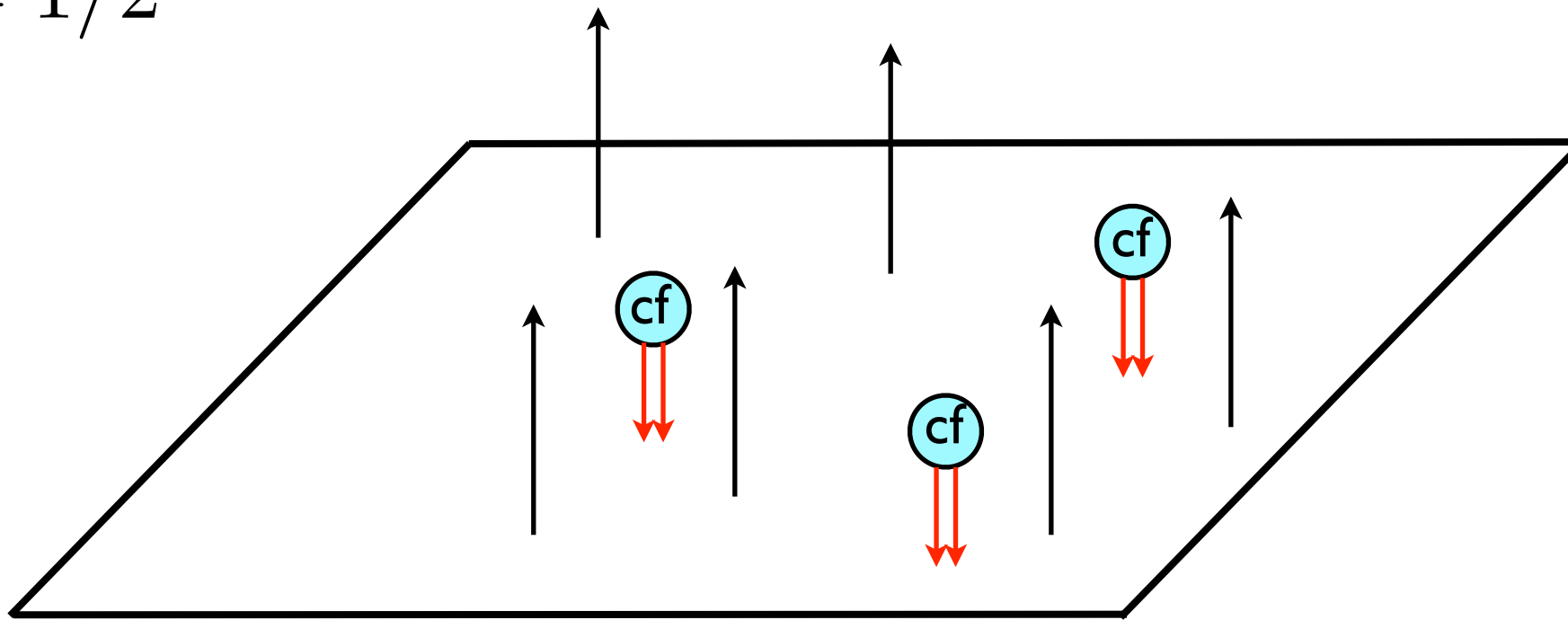
Composite fermion

$$\nu = 1/2$$



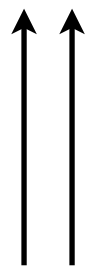
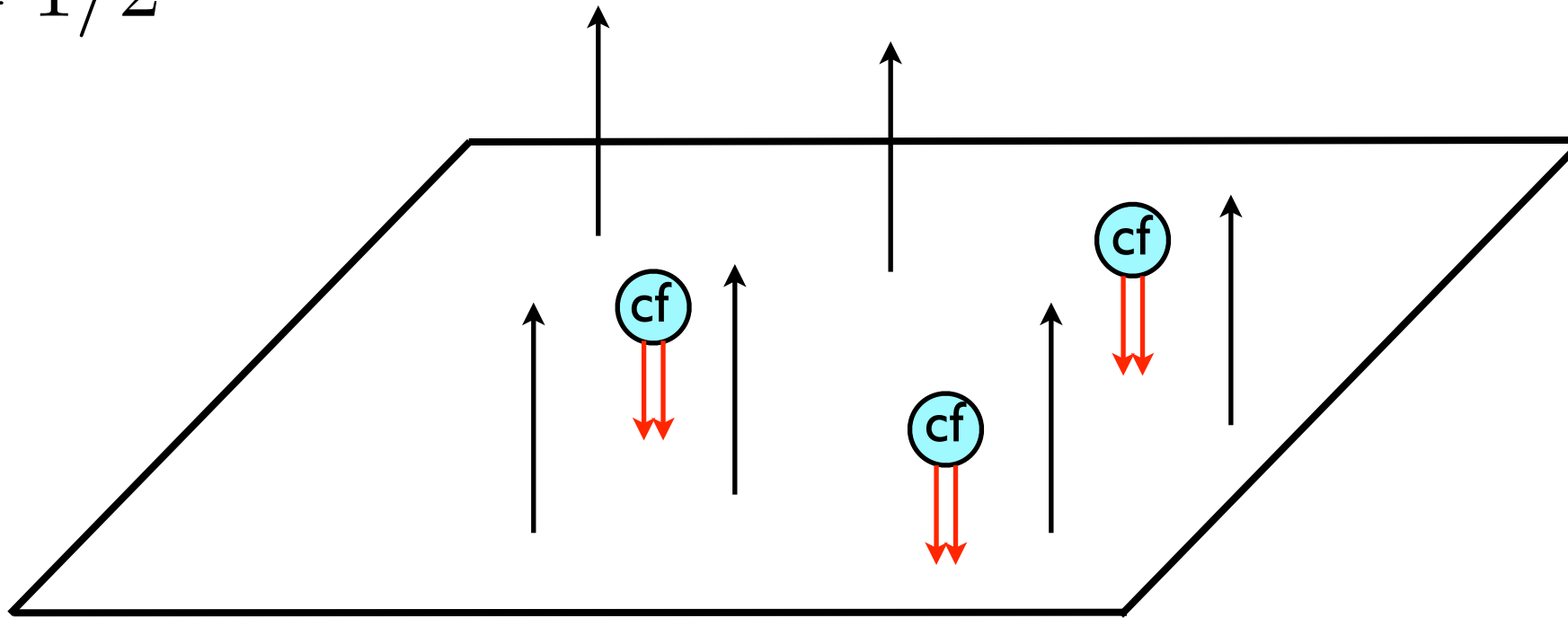
Composite fermion

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Composite fermion

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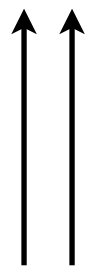
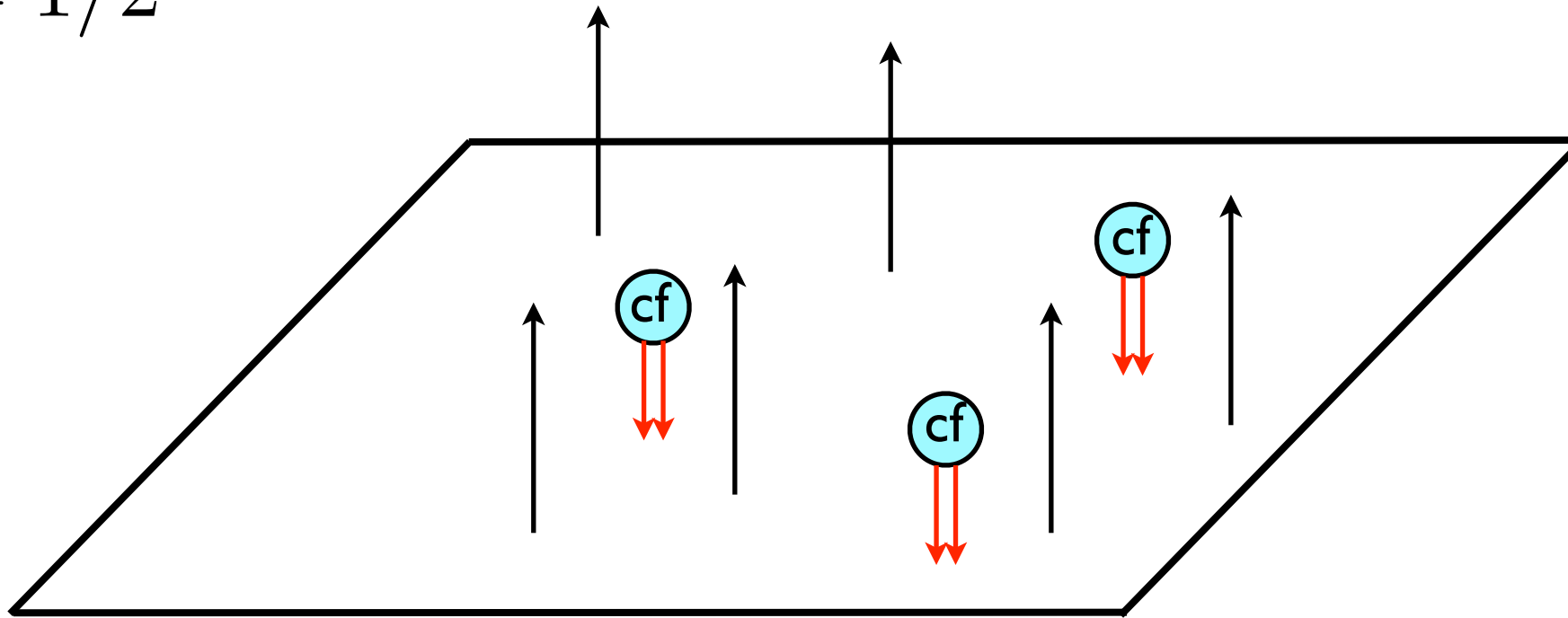


per $\odot e$

average zero field

Composite fermion

$$\nu = 1/2$$



per $\textcircled{e}$

average zero field

Fermi liquid of CF

Jain's sequence of plateaux

- Composite fermion feels a reduced magnetic field

$$B_{\text{eff}} = B - 2\pi n$$

smaller magnetic field $\rightarrow$ larger filling factor

Electrons

$$\nu = \frac{n}{2n+1}$$

$$\nu = \frac{n+1}{2n+1}$$

Composite fermions

n filled Landau levels

$n+1$ filled Landau levels

Jain's sequence of plateaux

- Composite fermion feels a reduced magnetic field

$$B_{\text{eff}} = B - 2\pi n$$

smaller magnetic field $\rightarrow$ larger filling factor

Electrons

$$\nu = \frac{n}{2n+1}$$

$$\nu = \frac{n+1}{2n+1}$$

Composite fermions

n filled Landau levels

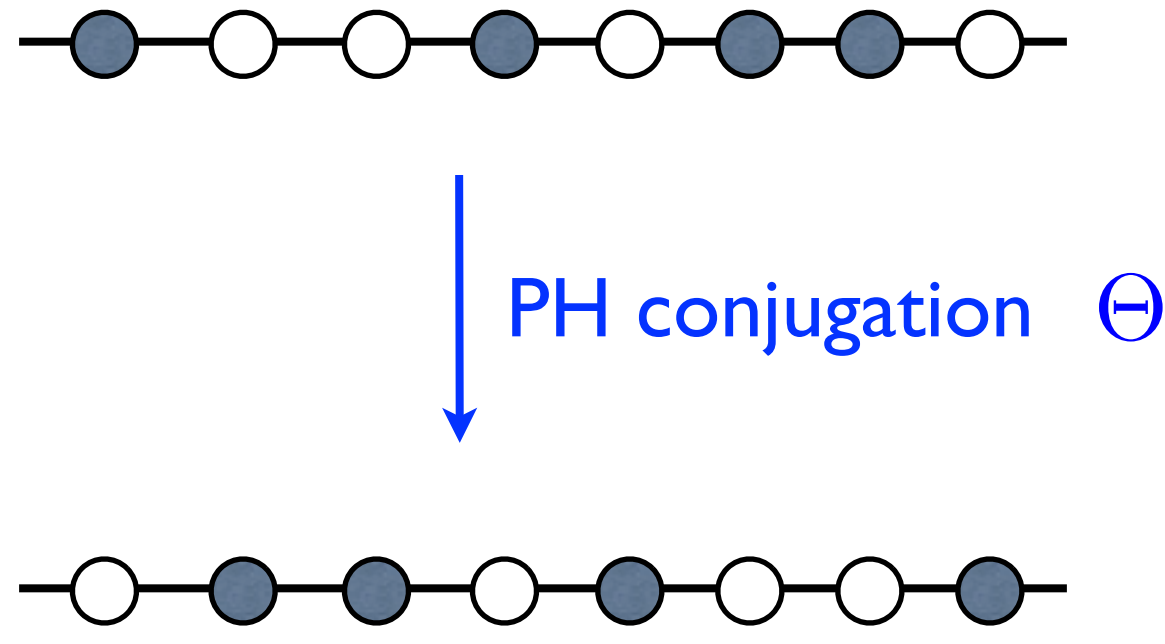
n+1 filled Landau levels gapped

(end of the old story)

The mystery of a missing symmetry

Particle-hole symmetry

Girvin 1984



$$\nu \rightarrow 1 - \nu$$

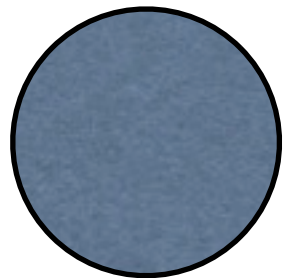
$$\nu = \frac{1}{2} \rightarrow \nu = \frac{1}{2}$$



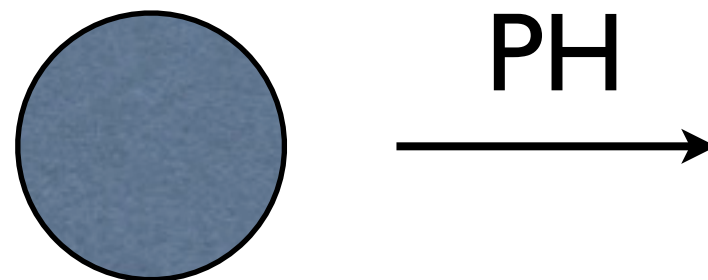
[wikipedia.org](https://en.wikipedia.org/wiki/Half_full_half_empty)

Half empty = half full

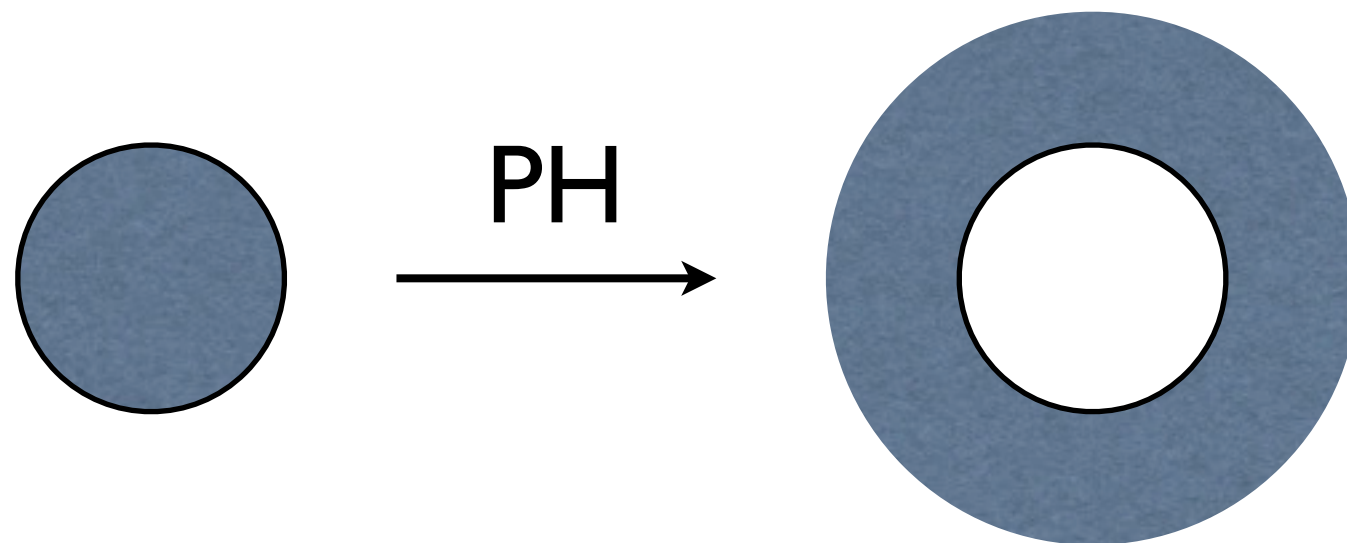
PH symmetry of a Fermi liquid?



PH symmetry of a Fermi liquid?



PH symmetry of a Fermi liquid?



PH asymmetry in the CF theory

CF representation of PH conjugate pairs of FQH states

$$\nu = \frac{n}{2n+1}$$

$$\nu = \frac{n+1}{2n+1}$$



$$\nu = 1/3$$



$$\nu = 2/3$$

PH asymmetry in the CF theory

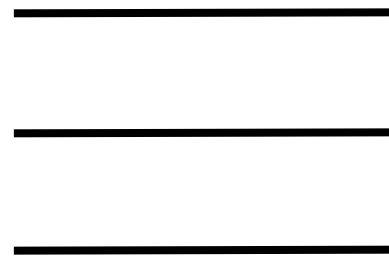
CF representation of PH conjugate pairs of FQH states

$$\nu = \frac{n}{2n+1}$$



$$\nu=2/5$$

$$\nu = \frac{n+1}{2n+1}$$



$$\nu=3/5$$

PH asymmetry in the CF theory

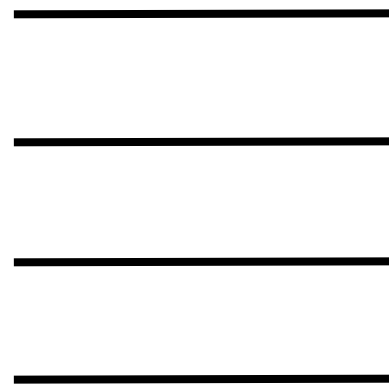
CF representation of PH conjugate pairs of FQH states

$$\nu = \frac{n}{2n+1}$$



$$\nu=3/7$$

$$\nu = \frac{n+1}{2n+1}$$

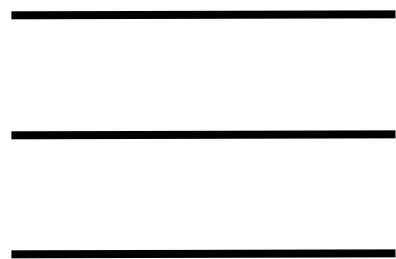


$$\nu=4/7$$

PH asymmetry in the CF theory

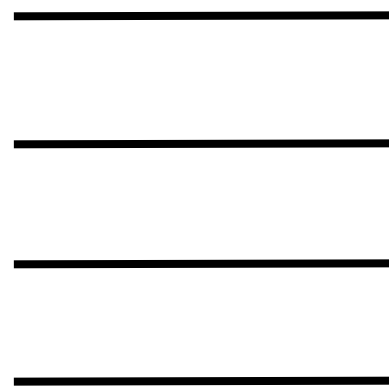
CF representation of PH conjugate pairs of FQH states

$$\nu = \frac{n}{2n+1}$$



$$\nu=3/7$$

$$\nu = \frac{n+1}{2n+1}$$



$$\nu=4/7$$

CF picture does not respect PH symmetry

- The particle-hole asymmetry of the CF picture has been known for a long time Kivelson et al 1997
- only recently a solution has appeared:
 - The composite fermion is a Dirac fermion

Fermionic particle-vortex duality

DTS; Metlitski, Vishwanath; Senthil, Wang 2015

Dirac fermion in (2+1)D $\mathcal{L} = i\bar{\psi}_e \gamma^\mu (\partial_\mu - iA_\mu) \psi_e$

QED in (2+1)D $\mathcal{L} = i\bar{\psi} \gamma^\mu (\partial_\mu - ia_\mu) \psi - \frac{1}{4\pi} \epsilon^{\mu\nu\lambda} A_\mu \partial_\nu a_\lambda$

A fermionic version of 2d particle-vortex duality Peskin;
Halperin Dasgupta 1970s

Particle-vortex duality

original fermion

magnetic field

density

dual fermion

density

magnetic field

$$S = \int d^3x \left[i\bar{\psi}\gamma^\mu(\partial_\mu - ia_\mu)\psi - \frac{1}{4\pi}\epsilon^{\mu\nu\lambda}A_\mu\partial_\nu a_\lambda \right]$$

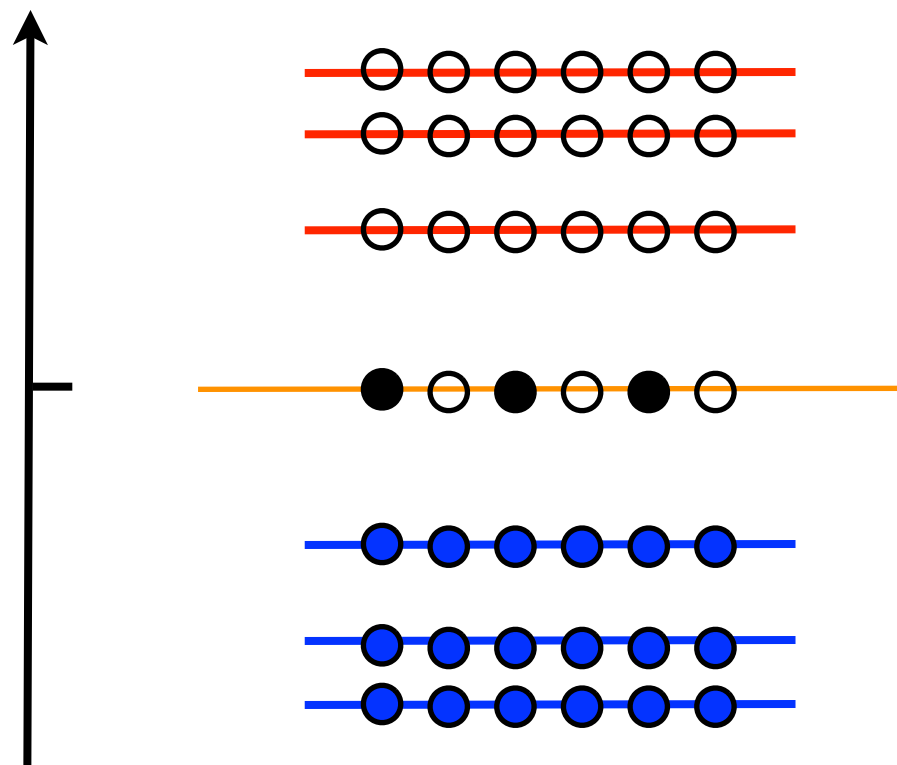
$$\rho = \frac{\delta S}{\delta A_0} = -\frac{b}{4\pi}$$

$$\frac{\delta S}{\delta a_0} = 0 \longrightarrow \langle \psi\bar{\gamma}^0\psi \rangle = \frac{B}{4\pi}$$

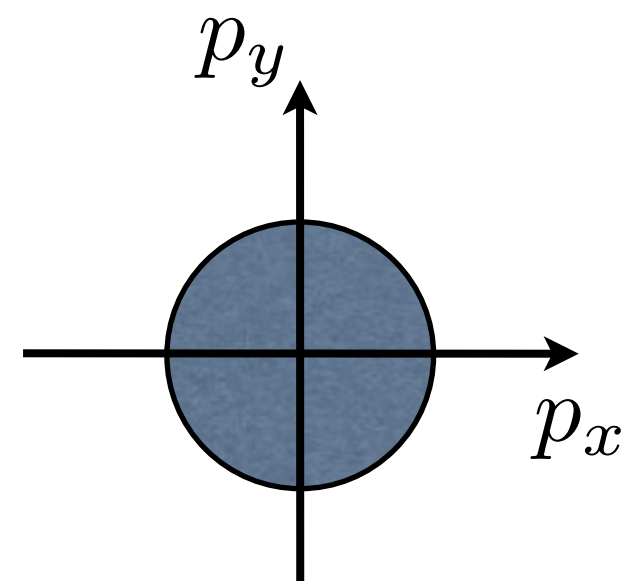
- In the FQHE the original fermion is the electron
- the dual fermion is the composite fermion
- Their numbers do not have to match

$$\Theta^2 = (-1)^{N_{\text{CF}}} \neq (-1)^{N_e}$$

- We still don't have an explicit duality transformation



original fermion
 $B \neq 0$
 $n = 0$



dual fermion
 $n \neq 0$
 $b = 0$

PH-Pfaffian state

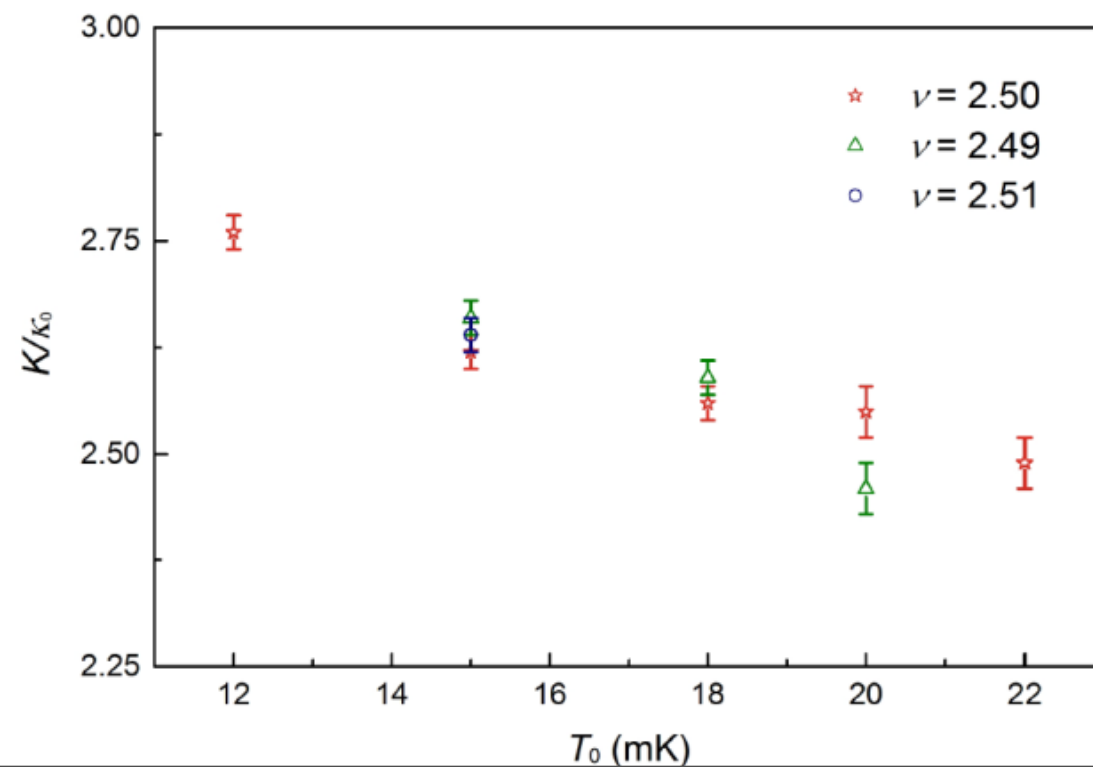
- Can dual fermions form Cooper pairs? seems to happen on the second Landau level
- Simplest pairing does not break particle-hole symmetry

$$\langle \epsilon^{\alpha\beta} \psi_\alpha \psi_\beta \rangle \neq 0$$

- (cf quark pairing [Rajagopal Wilczek](#))
- A new gapped state: PH-Pfaffian state
- Previously proposed Pfaffian and anti-Pfaffian states: pairing with orbital angular momentum ± 2

Nature of $\nu=5/2$ state?

- numerics prefers Pfaffian or antiPfaffian
- recent experiment prefers PH-Pfaffian
- measures edge thermal Hall coefficient, which distinguishes PH-Pfaffian ($\kappa=5/2$) from Pfaffian ($\kappa=7/2$) or anti-Pfaffian ($\kappa=3/2$) Banerjee, Heiblum... 2017



Predictions of Dirac CF theory

- Transport predictions
 - Hall conductivity = $1/2$, a longitudinal thermoelectric coefficient = 0 at half filling [Potter, Serbyn, Vishwanath 2015](#)
 - Absence of Friedel oscillations for PH-symmetric operators; verified numerically [Geraedts et al 2015](#)
 -

Seed duality and web of dualities

- Karch and Tong, and independently Seiberg, Senthil, Wang and Witten, showed that both the bosonic and fermionic particle-vortex duality can be obtained from a single “seed” duality
 - fermion = boson + flux

Outlook

- Field-theoretic dualities in dimensions larger than 2 are still not well understood
- Fractional quantum Hall effect is a experimental window to these dualities
- Nontrivial relationship to spin liquids, interacting surfaces of topological insulators.

Extra slides

Seed duality

Karch and Tong PRX 2016

$$S_{\text{fermion}}[\psi; A] = \int d^3x \, i\bar{\psi}\gamma^\mu(\partial_\mu - iA_\mu)\psi + \dots \qquad S_{\text{scalar}}[\phi; A] = \int d^3x \, |(\partial_\mu - iA_\mu)\phi|^2 + \dots$$

$$S_{CS}[A] = \frac{1}{4\pi} \int d^3x \, \epsilon^{\mu\nu\rho} A_\mu \partial_\nu A_\rho \qquad S_{BF}[a; A] = \frac{1}{2\pi} \int d^3x \, \epsilon^{\mu\nu\rho} a_\mu \partial_\nu A_\rho$$

$$Z_{\text{fermion}}[A] = \int \mathcal{D}\psi \, \exp \left(iS_{\text{fermion}}[A] \right)$$

$$Z_{\text{scalar+flux}}[A] = \int \mathcal{D}\phi \mathcal{D}a \, \exp \left(iS_{\text{scalar}}[\phi; a] + iS_{CS}[a] + iS_{BF}[a; A] \right)$$

$$Z_{\text{fermion}}[A] \, e^{-\frac{i}{2}S_{CS}[A]} = Z_{\text{scalar+flux}}[A]$$

- from the seed duality, a whole “web of dualities” can be derived
- example: self-duality of 2 fermions coupled to a $U(1)$ gauge field
- can be verified on the lattice Kartik, Narayanan
2015-2018

Status

- The dualities have passed many self-consistency checks,
- but there are no proof
- The situation is increasingly common in physics but perhaps one should not get used to it

Consequences of PH symmetry

$$\mathbf{j} = \sigma_{xx}\mathbf{E} + \sigma_{xy}\mathbf{E} \times \hat{\mathbf{z}} + \alpha_{xx}\nabla T + \alpha_{xy}\nabla T \times \hat{\mathbf{z}}$$

conductivities

thermoelectric
coefficients

- At exact half filling, in the presence of particle-hole symmetric disorders

$$\sigma_{xy} = \frac{e^2}{2h}$$

$$\alpha_{xx} = 0$$

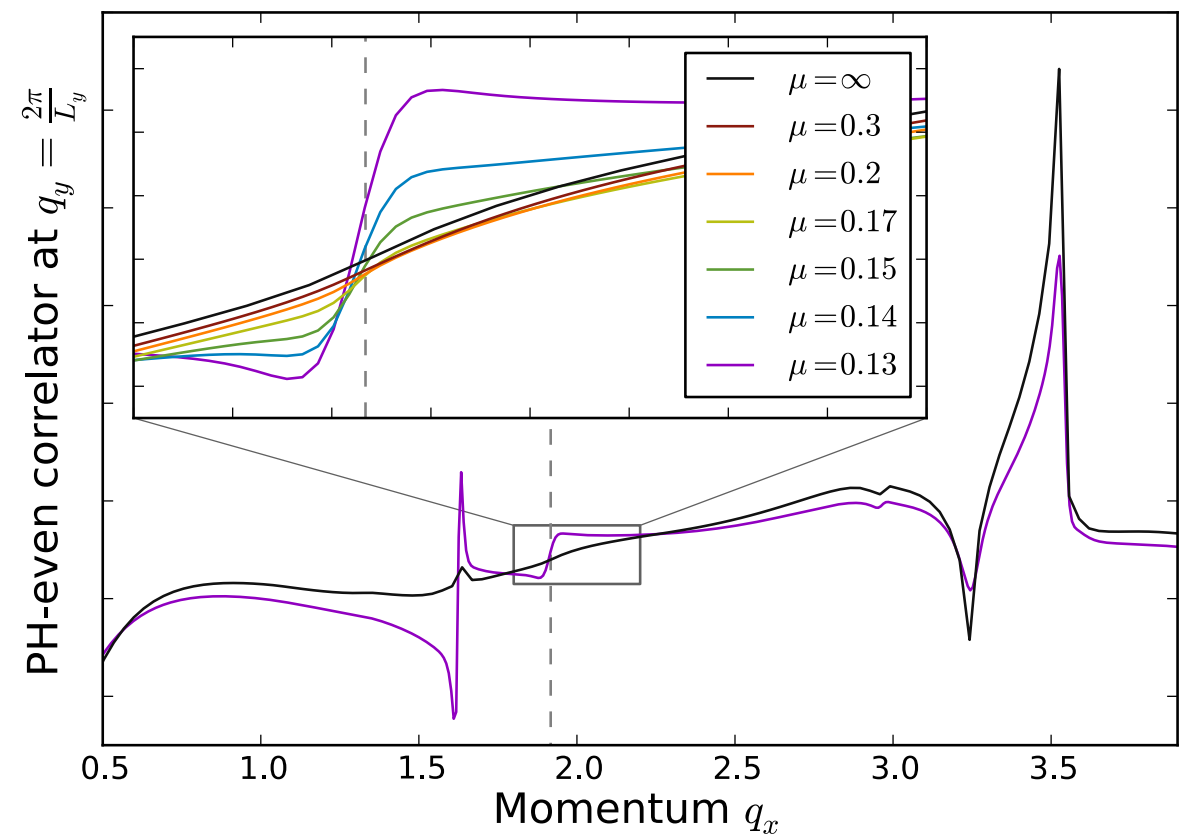
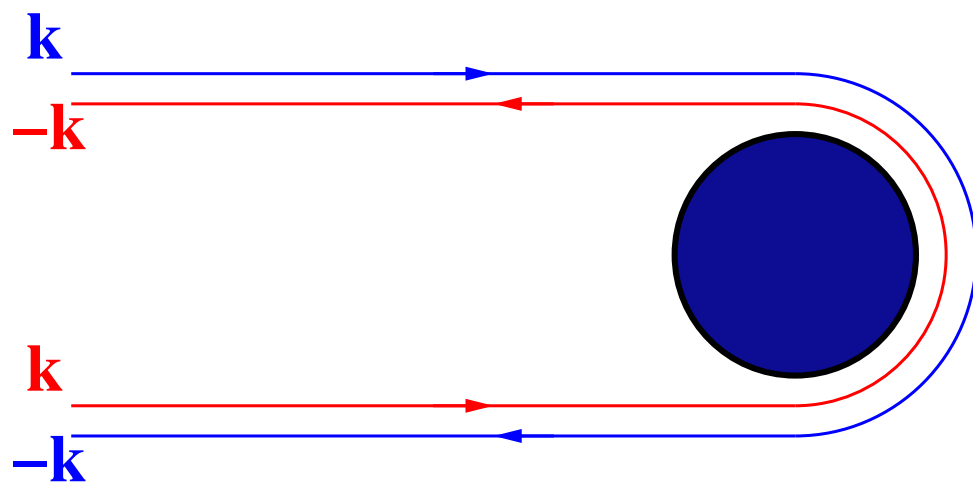
HLR

$$\rho_{xy} = \frac{2h}{e^2}$$

Potter, Serbyn, Vishwanath 2015

Consequences of Dirac CF

Suppression of Friedel oscillations in correlations of particle-hole symmetric observables $\hat{O} = (\rho - \rho_0) \nabla^2 \rho$



Geraedts, Zaletel, Mong, Metlitsky,
Vishwanath, Montrunich, 2015

Direct proof of Berry phase π of the composite fermion